The Papua New Guinea HIV Model
Explaining the past, describing the present, and forecasting the future of the HIV epidemic in PNG

Summary and Results
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The Papua New Guinea HIV Model

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- National AIDS Council Secretariat of Papuan New Guinea (NACS)
- PNG National Department of Health of Papua New Guinea (NDoH)
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Abbreviations

PNG: Papua New Guinea
PNGIMR: Papua New Guinea Institute of Medical Research
NACS: National AIDS Council Secretariat of Papua New Guinea
NDoH: National Department of Health of Papua New Guinea
AIDS: Acquired immunodeficiency syndrome
HIV: Human immunodeficiency virus
MSMW: Men who have sex with men and women
FSW: Female sex worker
VCT: Voluntary counselling and testing
STI: Sexually transmitted infections
RDS: Respondent driven sampling
PLWHA: People living with HIV/AIDS
PMTCT: Prevention of mother-to-child transmission
Glossary

**Mathematical modelling:** the use of mathematics to:

- Describe and explain real-world phenomena
- Investigate important questions about the observed world
- Test ideas
- Make predictions about the real world

Mathematical models can take many forms, including but not limited to dynamical systems, statistical models, agent-based simulations, differential equations, or game theoretic models. These and other types of models can overlap, with a given model involving a variety of abstract structures.

**Simulation:** a simulation is one complete run from start to finish of the model.

**Calibration:** the process of matching the model outputs to real world data. The current model was calibrated to represent the HIV epidemic in Papua New Guinea.

**Current conditions:** the characteristics of all aspects of the population and the HIV epidemic at the present time.

**Baseline:** this is the reference case to compare past evaluation and intervention results. For our baseline case we assume no change or intervention has taken place, this allows us to easily compare the success of each intervention.

**Past evaluation:** investigate what could have happened in the past if past population, behavioural, or epidemiological conditions had been different.

**Intervention:** a strategy designed to reduce or reverse trends in a HIV epidemic.

**Projection or forecast:** a simulation of HIV epidemiological trajectories into the future under status quo conditions or with changes due to the implementation of, or change to, an intervention.
Executive summary

The PNG HIV Model is a detailed mathematical model of HIV transmission in Papua New Guinea (PNG). It was developed as a tool to evaluate and understand HIV epidemic trajectories within PNG with the purpose of evaluating the impact of potential HIV interventions and to assist with policy development. With this in mind the model has been implemented in an intuitive software package that can be used by stakeholders in PNG independently to perform their own investigations and evaluations.

A complete description of the PNG HIV Model and the accompanying software is provided in three reports. The PNG HIV Model – Summary and Results report provides an overview of the project and the model as well as presents the results from evaluations of potential interventions. A detailed description of the PNG HIV Model and the parameter values used to represent the overall HIV epidemic in PNG are described in The PNG HIV Model – Technical Appendix. Accompanying these two reports, a manual for the software interface is provided in The PNG HIV Model – Software Manual.

Methods

We developed a detailed model of HIV transmission in PNG with the aim of providing insights into the complex dynamics of HIV transmission and to carry out forecasts of expected epidemic trajectories under certain conditions or public health intervention scenarios. This model uses a mechanistic framework that combines epidemiological, behavioural, biological, and clinical data to assess how various factors contribute to the HIV epidemic in PNG. Features incorporated into the model include:

- Demographic details such as age, sex, and rural or urban location of residence;
- The at-risk population groups of men who have sex with men and women (MSMW) and female sex workers (FSW);
- Penile cutting and circumcision of males;
- Detailed sexual behaviour informed by available data on partner numbers and condom use;
- A detailed description of HIV transmission through sexual intercourse and infection progression through primary, chronic, and AIDS stages of infection. The model also includes prevalence of other STIs which can facilitate HIV transmission;
• HIV diagnosis, initiation of anti-retroviral therapy and its effects on HIV transmission, and treatment failure.

All the parameters in the model were calibrated to reflect the overall HIV epidemic as accurately as possible.

**Results**

The role of ART since 2003 has likely lead to a substantial reduction in AIDS deaths in PNG and the model suggests ART has contributed to a fall in HIV transmission. If the supply of anti-retroviral drugs was constrained, then there would likely be a large increase in AIDS deaths and a worsening of the HIV epidemic.

The PNG model was used to project HIV epidemiological trends up to 2020 assuming current conditions or under intervention scenarios incorporating circumcision, increased condom usage, earlier initiation of ART, microbicides, and the expansion of prevention of mother-to-child transmission services.

Assuming all parameters remained at their 2011 values, the model predicts a flattening out in HIV prevalence over the next decade with a small increase from 0.86% in 2010 to 1% in 2020. The introduction of interventions can change these epidemic trajectories. Of the interventions considered, the ones with the greatest epidemiological impact were increases in condom use (particularly within the general population) and the early initiation of ART. Male circumcision and microbicides with a moderate efficacy were shown to have a relatively small impact on HIV infections and could potentially result in an increase in HIV infections if they lead to a reduction in condom usage.

**Conclusions and Interpretations**

The PNG HIV Model links the underlying demographic and behavioural characteristics of populations in PNG with HIV epidemiology. With the software interface it is a useful tool for investigating the underlying drivers of HIV transmission and to assess the impact of future interventions. Before results from the model are incorporated into policy and programmes it is important to consider the simplifying assumptions incorporated into the model. In addition the cost-effectiveness of programmes and their acceptability to local communities (from social research) should be assessed prior to implementation.
Introduction

A total of 32,005 HIV infections were reported in Papua New Guinea between 1987 and the end of 2009 [1]. This increase in HIV diagnoses is consistent with an epidemic in its early expansion phase, though recent estimates of prevalence have levelled off at approximately 1% of the adult population [2]. However, the exact nature of the HIV epidemic in PNG is highly uncertain, with wide variations in infection levels between locations and population groups [1-3]. Current epidemiological data report that the primary mode of transmission is heterosexual contact, with males and females being approximately equally affected [1, 4].

Regardless of its exact nature, the HIV epidemic in PNG has had a large adverse impact on the population with increased morbidity, mortality, and detrimental social effects. This has led the Papua New Guinea government to undertake efforts to control the HIV epidemic including the roll out of Voluntary Counselling and Testing (VCT) Centres, testing at Antenatal Clinics (ANC), and scale-up the distribution of antiretroviral therapy (ART) [4]. Despite the government’s efforts, education levels and access to testing facilities remain relatively low, particularly in rural areas. These problems, coupled with the high uncertainty in the characteristics of the HIV epidemic and profound cultural diversity, present challenges for implementing policies and interventions to combat the spread of HIV.

Epidemic models of HIV transmission are useful tools for interpreting and understanding surveillance data and epidemiological patterns of HIV epidemics as well as forecasting epidemic trajectories and evaluating the population-level impact of public health programs. Models have been used previously to understand the HIV epidemic in PNG [5-8] and simple models are used to estimate HIV prevalence and incidence [2, 4] but these are either not specifically designed for the unique conditions in PNG or are too simple to incorporate detailed epidemiological, demographic, and sexual behaviour data. In this report we present the PNG HIV Model which is the first model to be specifically customised to the unique behavioural and epidemiological context of PNG. The PNG HIV Model has been developed using best practise disease modelling techniques, using a system of difference equations to represent the dynamics of the HIV epidemic in PNG. The model incorporates detailed age-specific sexual behaviour and sexual mixing patterns, realistic biological transmission of HIV, and detailed infection and disease progression stages. It is informed by the latest international evidence on heterogeneous
transmission rates, intervention efficacies, and disease progression as well as guided by a Reference Group of PNG National HIV/AIDS experts.

The PNG HIV Model has been incorporated into a software package that can be installed and used by HIV stakeholders within PNG. This software is intuitive and highly flexible, allowing users to enter their own parameter estimates for specific geographical areas or populations. With this software, users can produce a large range of simulated epidemiological outputs that can be used to assess epidemic trajectories and investigate different scenarios of past HIV epidemic trajectories and the impact of future interventions.

The model can be adapted for application to different geographical areas of PNG and produces output describing the overall and age-categorised HIV indicators for each population group, including prevalence, annual and cumulative incidence, annual and cumulative diagnoses, annual and cumulative HIV/AIDS related deaths, the proportion in each infection stage, ART coverage, the proportion on effective first and second line therapy, and the proportion with treatment failure.

This report gives a summary of the PNG HIV Model and presents results evaluating both the impact of ART on the HIV epidemic in PNG and the future impact of potential HIV interventions. The parameters and assumptions underlying the PNG HIV Model in its current form have been calibrated to represent the best available knowledge and epidemiological data of the HIV epidemic in PNG. All details of the model, assumptions used, and parameter values are described in detail in The Papua New Guinea HIV Model - Technical Appendix. A user guide for software installation, the entry of user-specified data and scenarios, and the running of the PNG HIV Model software is provided in The Papua New Guinea HIV Model – Software Manual.
1 Summary of the PNG HIV Model

We developed a detailed model of HIV transmission in PNG with the aim of providing insights into the complex dynamics of HIV transmission and to carry out forecasts of expected epidemic trajectories under certain conditions or public health intervention scenarios.

In this section we summarise the main characteristics and assumptions of the model as well as the process of parameterisation and calibration of the model to the overall HIV epidemic in PNG. A detailed description of all aspects of the model and a detailed justification for the parameter values used is provided in the PNG HIV Model - Technical Appendix.

1.1 Brief overview of the PNG HIV Model

The model is a compartmental model that categorises each individual within the population of interest into specific population compartments. These compartments are used to represent the number of individuals:

- Who are male or female
- In specific population groups
- In each age group
- In each geographic area
- Who are infected with HIV
- In each HIV disease stage
- On effective ART or with treatment failure.

A set of difference equations is used to describe the number of individuals in each compartment and the movement between compartments. This set of equations is used to update the characteristics of the overall population each month with the initial population representing the year 1990. All model parameters can vary with time and across age groups.
1.2 Demographic characteristics and population groups

- The overall population is divided equally into males and females.
- The overall population size grows over time from the initial 1990 value with a growth rate given by the birth-rate for reproductively aged females (aged 15-49 years) [9].
- Age is incorporated into the model by categorising the population into five-year age groups: 0-4, 5-9, 10-14, 15-19, 20-24, 25-29, 30-34, 35-39, 40-44, 45-49, 50-54, 55-59, and > 60 years.
- The population is divided into two population classes. For this report, the two classes are designed to represent people in urban and rural populations in PNG. Within each class (urban/rural setting), individuals can only be sexually active with others in the same class but can migrate from one class to another.
- Within each population class and age group, the population is divided into four population groups related to the risk of HIV acquisition. Males are divided into heterosexual males and men who have sex with men and women (MSMW). MSMW include all men who have sex with other men including those that are exclusively homosexual. Females are divided into general females and female sex workers (FSW). Female sex workers are aged between 15 and 49 years. Females can start and stop engaging in sex work over time.
- For all males, two forms of foreskin removal are incorporated in the model with a proportion of HIV-negative males designated to be circumcised (complete removal of the foreskin) or to have some form of penile cutting (penile slitting or partial removal of the foreskin) to reflect current practices in PNG [10].

1.3 Sexual behaviour

The model incorporates detailed sexual behaviour characteristics informed by available data from surveys within PNG [11-17] and varying depending on age, population group, and HIV status. In the model:

- Females are assumed to begin sexual activity when they turn 15 years of age;
- Sexual partnerships are categorised to be casual or regular;
• For FSWs, casual and regular partnerships incorporate both clients and non-paying partners;
• The rate of partnership formation varies with age;
• On average men are older than their female partners;
• Condom usage to prevent HIV transmission varies with age and type of partnership;
• Individuals who have been diagnosed with HIV and are in the late stages of untreated HIV infection are assumed to have a lower number of partners due to knowledge of their infection or illness.

1.4 HIV biology and transmission

HIV-infected individuals are initially in the primary stage of infection and then progress to the longer chronic/asymptomatic stage, before progressing to AIDS, depending on the availability of antiretroviral therapy. Differential death rates for stage of infection are incorporated based on the best available data in the international literature.

The transmission of HIV in the model between partners, where one is infected and the other susceptible to acquiring infection, is dependent on the sexual behaviour, partnership type, stage of HIV infection of the infected partner, circumcision status of the susceptible partner, the prevalence of STIs, and the current HIV prevalence within each population. In the model, there is no transmission of HIV due to injecting drug use as this is not considered a major transmission route in PNG at the moment with no recorded cases of HIV transmission due to injecting [1].

Other aspects of HIV biology and transmission incorporated in the model are listed below.

• Based on international clinical trials, males who have been circumcised or have penile cutting are assumed to be partially protected from HIV infection during heterosexual intercourse [18-21]. Penile cutting is assumed to provide a lower level of protection than that of circumcision.
• The model incorporates differential baseline probabilities for male-to-female, female-to-male, and male-to-male sexual transmission. The male to female transmission probability assumed in the model is higher than reported in systematic reviews [22] because of heterosexual anal sex and sexual violence which, at least anecdotally, are relatively more common in PNG than in settings in which transmission studies have been carried out [13, 14, 17].
- HIV-infected people in the primary stages of infection and the AIDS stages of infection are assumed to be more infectious due to their higher viral load [22].
- Effective HIV treatment reduces the probability of transmission to susceptible partners [23]. However, those with treatment failure have the same infectiousness as people in the chronic stage of infection.
- Ulcerating STIs are considered to facilitate the transmission of HIV, and STI prevalence is incorporated in the model [22]. However, the transmission of STIs is not incorporated and STIs are simply included as an independent prevalence within the population.
- The model estimates the number of children exposed to HIV and who become infected through mother-to-child transmission.

1.5 HIV diagnosis and treatment

- Individuals with AIDS are highly likely to be symptomatic and diagnosed due to clinical symptoms of AIDS-defining illnesses or a resulting test. Due to the symptomatic nature of AIDS, all population and age groups are assumed to be diagnosed at the same rate.
- Those with asymptomatic HIV infection in the primary or chronic HV stages can be diagnosed through HIV testing. The rate of testing and diagnosis is dependent on the age and population group with individuals at risk of HIV (such as MSMW and FSW) having higher testing rates. These testing rates have been calibrated to match the annual number of HIV diagnoses reported in PNG [1].
- In the model, individuals in the primary and chronic stage can go onto first-line HIV treatment. However, up to the current time we assume that only those with AIDS can begin ART.
- The model incorporates the ability to include second-line ART regimens, which people experiencing first-line treatment failure can commence. However, from 1990 to the present time we have assumed there have been no second-line regimens available in PNG.

1.6 Interventions considered

The ability to investigate specific scenarios for evaluating past changes or the impact of HIV interventions has been incorporated into the PNG HIV Model. Examples of interventions that can be investigated include:
• circumcision of males;
• increases in condom use;
• the use of microbicides by females;
• the early initiation of ART in diagnosed individuals;
• prevention of mother-to-child transmission.
2 Graphical User Interface

The PNG HIV Model has been programmed in Matlab code and a graphical user interface implementing this code was designed for calibrating the model to the PNG HIV epidemic and to simulate and forecast epidemiological trajectories. This interface was used to generate all the results in this report and is a standalone software package that can be installed on a user's computers running Microsoft Windows. A user manual is provided in The Papua New Guinea HIV Model - Software Manual. This interface is intuitive and has flexible options, allowing PNG National HIV/AIDS experts to perform their own analyses of the HIV epidemic. In the software interface, all parameters have a default value that has been calibrated to match the overall HIV epidemic in PNG (as described in section 3.1 below). These values can be changed using the software interface to better reflect updated data or to specify particular regions or populations within PNG and to explore the effect that variations in parameter values have on HIV epidemic trajectories.

Figure 1: Screenshots of the graphical user interface implementing the PNG HIV Model
3 Results for the overall HIV epidemic in PNG

3.1 Calibration to the HIV epidemic

The PNG HIV Model was used to investigate the HIV epidemic in the overall population of PNG. The two population classes in the model are used to represent the total urban and rural populations of PNG and the parameters in the model were calibrated to represent the known HIV epidemiology in these populations.

A detailed description of the parameterisation process and the calibration of the model to the HIV epidemic in PNG are described in The Papua New Guinea HIV Model - Technical Appendix. Due to the complexity of the model and the number of variables that need to be described, matching the model outputs to what is known about the HIV epidemic in PNG and the underlying behaviours was difficult. While in recent years a large amount of data describing the epidemiology of the HIV epidemic has become available [1], there is still a large number of important variables for which there are little or no information or quantitative data. Some of these variables are particularly sensitive in the model, with small changes in value resulting in large changes in epidemiological outcomes. These include: the population sizes of FSWs in urban and rural areas; the total number of men who engage in homosexual activity with other men; the level and distribution of penile cutting in the male population and its efficacy in preventing HIV acquisition; the level and frequency of heterosexual anal intercourse, which is likely to increase the transmission probability from men to women; the impact of sexual violence on HIV transmission during sexual intercourse; and the level of population movement or migration within PNG. However, using plausible assumptions where necessary and collating all the available demographic, epidemiological, behavioural, biological, and clinical data, we were able to calibrate the model to reflect trends in both the underlying behavioural characteristics of the PNG population and trends in prevalence, diagnoses, and the uptake of ART in PNG (full details of the reasoning behind assumptions and parameter values are described in the Technical Appendix). Simulated HIV indicators produced by the model are shown in Figure 2 and Figure 3 and a concise summary of indicators is given in Table 1.

Overall, the model estimates that the adult HIV prevalence in PNG at the end of 2010 is slightly less than 1%. There is a much higher prevalence in urban areas, particularly in urban FSW, with more than 10% infected with HIV by 2010. The model also accurately reflects the annual number and the age distribution of diagnoses as well as the total number of people who have
The PNG HIV Model

started ART (Figure 3). However, the model is not able to capture all characteristics of the HIV epidemiology seen in PNG (as discussed below) due to the relatively simple categorisation of population groups, the high uncertainty in population characteristics, and the complex and diverse nature of the PNG HIV epidemic.

**Figure 2:** Simulated HIV prevalence in PNG from the PNG HIV Model in: (a) the overall adult population; (b) each population at the end of 2010; (c) urban population groups; and (d) rural population groups; and (d)
Figure 3: Simulated diagnoses and ART uptake from the PNG HIV Model. (a) Annual HIV diagnoses (green) compared to the number of recorded diagnoses in PNG (black dots; source NDoH). (b) Cumulative number of HIV positive people that have started ART in PNG (green) compared to recorded number that have started ART (black dots; source NDoH). (c) Simulated cumulative diagnoses in male and females from 1990 to 2010.

For example, the prevalence of HIV in urban areas is mostly likely overestimated by the model. This could be due to the model grouping all urban populations into one population category, resulting in too much sexual mixing in the urban population. However, it may suggest that the urban model population is more representative of areas in PNG where there is a higher level of infection (such as the highlands) rather than urban areas overall.
Also the simulated prevalence from the model for urban FSW is most likely too low and is falling (recently HIV prevalence in FSWs working in Port Moresby has been reported to be 19% [14]). This is due to the level of population mixing in the model through migration between urban and rural areas and the stopping and starting of sex work. Nevertheless, despite the high level of uncertainty in data values and the sensitivity to assumptions and unknown parameter values, the PNG HIV Model can accurately reflect the overall HIV epidemic in PNG and be used to assess past and future HIV epidemic trends.

The parameter values producing the epidemic trajectories in Figure 2 and Figure 3 are used to represent the past epidemic in PNG with the values at the end of 2010 used to represent current conditions. Using the model, forecasts of epidemiological trends can be made into the future under current conditions (i.e. maintaining the status quo) or after the introduction of interventions.

**Table 1**: Concise summary of HIV indicators produced by the PNG HIV Model. Forecasts are made assuming parameters remain at 2010 values. Those requiring first-line ART includes those diagnosed with AIDS and those on first-line ART. Adults requiring second-line ART are those who have failed first-line therapy.

<table>
<thead>
<tr>
<th>Number of:</th>
<th>In 2010</th>
<th>Forecast in 2020</th>
</tr>
</thead>
<tbody>
<tr>
<td>Adults living with HIV/AIDS (prevalence)</td>
<td>0.85%</td>
<td>1.0%</td>
</tr>
<tr>
<td>Annual number of new HIV infections</td>
<td>4753</td>
<td>6368</td>
</tr>
<tr>
<td>Annual number of AIDS deaths</td>
<td>1377</td>
<td>1730</td>
</tr>
<tr>
<td>Adults requiring first-line ART</td>
<td>6107</td>
<td>11446</td>
</tr>
<tr>
<td>Adults requiring second-line ART</td>
<td>709</td>
<td>1619</td>
</tr>
<tr>
<td>Annual number of children exposed to HIV</td>
<td>3293</td>
<td>4413</td>
</tr>
<tr>
<td>Annual number of children infected with HIV</td>
<td>1130</td>
<td>1453</td>
</tr>
</tbody>
</table>
3.2 The Impact of ART roll-out on the HIV epidemic in PNG

The PNG HIV Model can be used to evaluate past epidemic trends and the population level impact of previous public health programs and past changes in behaviour within the PNG population. An example of such a past change is the roll-out of effective ART in PNG since the end of 2003 [1]. The estimated impact of ART becoming available in PNG is shown in Figure 4.

**Figure 4:** Estimated change in the number of AIDS deaths (left) and HIV incidence (right) in PNG if ART had not been rolled out since the end of 2003.

If ART programs had not been available in the 2004 to 2010 period, then there would have been many more HIV infections and deaths due to HIV/AIDS within PNG. The PNG HIV Model estimates that ART programs have averted 4,352 (6.6%) HIV infections and 4,712 (15.9%) AIDS deaths.

Almost all of PNG’s resources for HIV services rely on external sources and in early 2010 PNG did not secure funding from the Global Fund for the continuation of its ART programs. This could have resulted in the complete depletion of ART. Fortunately the PNG government provided resources to cover the costs of PNG’s ART programs until the next round of funding, to prevent ART becoming unavailable in the country. Using the PNG HIV Model the consequences of a reduction in ART availability in PNG was evaluated. If HIV-positive individuals are unable to begin treatment for three years due to a lack of ART, then there could be large numbers of AIDS deaths and a worsening of the HIV epidemic (Figure 5). Note that for these results it was assumed that individuals currently in ART continue to receive it. If these people were also no
longer able to access ART then the number of people with treatment failure would likely increase and there would be a further worsening of HIV epidemiology trajectories.

Figure 5: Impact of ART becoming unavailable in PNG for 3 years compared to projections of current conditions.

3.3 The Impact of potential interventions

Public health policies and programs have the most impact if they are based on a strong evidence base. Part of this evidence is the expected epidemiological impact of new interventions designed to reduce the transmission of HIV or the scaling-up of current programs. The PNG HIV Model and interface is a useful tool that health officials and stakeholders in PNG can use to design and evaluate future public health policies.

Using the PNG HIV Model, we forecast the likely HIV epidemic trajectory in PNG if specific interventions are introduced. We considered various plausible example scenarios based on prevention measures that are known to be effective in other settings or have been shown to be effective in recent clinical trials, such as male circumcision [18-21], increased condom use, female microbicides [24], the early initiation of ART [23, 25], and the roll-out of PMTCT programs. The impact of these interventions was assessed by comparing their impact to projections of current conditions over the period 2010-2020.
3.3.1 Circumcision

Male circumcision is a potentially important biomedical intervention for the prevention of HIV. Circumcised men have a ~60% reduction in the risk of acquiring HIV during vaginal sexual intercourse with females [18-21]. As discussed in The PNG HIV Model - Technical Appendix, the level of circumcision in PNG is difficult to ascertain as a wide range of traditional penile cutting practices (involving slitting or partial removal of the foreskin) are relatively common in PNG, with the complete removal of the foreskin or circumcision rarely occurring.

The prevalence and full extent of penile cutting practices in PNG is currently unknown. In addition, while the partial removal of the foreskin may provide some protection from HIV acquisition, the level has not been determined. This makes it difficult to fully assess the potential impact of circumcision on the PNG HIV epidemic. However, under reasonable assumptions (described in the technical appendix) the PNG HIV Model shows that circumcising men with no form of penile cutting can have a small impact on the HIV incidence in PNG (Figure 6). The longer circumcision is carried out and the more men that are circumcised, the greater the impact. This impact is likely to be greater still if circumcision is also taken up by men who have already had some form of penile cutting (results not shown).

![Figure 6](attachment:image6.png)

**Figure 6:** Projections of HIV incidence (left) and the number of HIV infections averted (right) in the overall adult population for various circumcision scenarios where men without any form of penile cutting are circumcised.
Prioritising circumcision uptake to men aged between 15 and 35 years (Figure 7) will result in a greater cost-benefit ratio, according to the measure of expected infections averted per circumcision carried out (Table 2). Similarly, prioritising men at increased sexual risk of HIV (such as STI clinic attendees or clients of FSWs) is likely to have a high cost-benefit ratio.

![Graph showing projections of HIV incidence and infections averted](image)

**Figure 7:** Projections of HIV incidence (left) and the number of HIV infections averted (right) in the overall adult population if circumcision is prioritised towards men aged between 15 and 35 years.

The PNG HIV Model was also used to evaluate the impact of increasing the level of male circumcision at birth. Such a policy will have a minimal short term impact as changes in HIV epidemiology will not become apparent until circumcised infants become sexually active in 15 to 20 years time (i.e. after 2025). If a large proportion of male infants are circumcised at birth then large reductions in HIV infections can occur after 2035 if current conditions are maintained. However, it is unlikely that current conditions will continue for this length of time with potentially large changes in HIV epidemiology and sexual behaviour occurring. Hence, modelling projections that far into the future should be interpreted with caution.
Table 2: The number of HIV infections averted, the number of adult males circumcised, and the number of circumcisions carried out to avert one infection in the period 2010-2020 for each circumcision intervention scenario.

<table>
<thead>
<tr>
<th>Intervention</th>
<th>Infections Averted</th>
<th>Number of adult males circumcised</th>
<th>Circumcisions per infection averted</th>
</tr>
</thead>
<tbody>
<tr>
<td>Circumcise 10% of uncircumcised men over 5 years</td>
<td>1103</td>
<td>181952</td>
<td>165</td>
</tr>
<tr>
<td>Circumcise 20% of uncircumcised men over 5 years</td>
<td>2122</td>
<td>350365</td>
<td>165</td>
</tr>
<tr>
<td>Circumcise 30% of uncircumcised men over 5 years</td>
<td>3063</td>
<td>506027</td>
<td>165</td>
</tr>
<tr>
<td>Circumcise 10% of uncircumcised 15 to 35 year olds over 5 years</td>
<td>683</td>
<td>61957</td>
<td>91</td>
</tr>
<tr>
<td>Circumcise 20% of uncircumcised 15 to 35 year olds over 5 years</td>
<td>1317</td>
<td>119618</td>
<td>91</td>
</tr>
<tr>
<td>Circumcise 30% of uncircumcised 15 to 35 year olds over 5 years</td>
<td>1906</td>
<td>173221</td>
<td>91</td>
</tr>
</tbody>
</table>

3.3.2 Increased condom usage

Increasing the level of condom usage from current levels is likely to have a large impact on HIV incidence in PNG (Figure 8). A substantial reduction in infections could occur if the level of condom use by the general female population increased (particularly for regular partnerships) from the current low levels. Significant but smaller reductions in HIV infections will occur if FSWs increase their condom use in casual/client partnerships (Figure 8). However, given the small proportion of females engaging in sex work at any one time, prioritising increases in condom use at FSWs is more efficient at preventing infections. Also if condom usage by FSWs is smaller than that assumed under current conditions, then increases in condom usage will have a larger impact on the PNG HIV epidemic.
3.3.3 Microbicides

A tenofovir-based gel was found to be an effective microbicide for preventing HIV infection in sexually active women during a recent clinical trial [24]. This gel reduced HIV acquisition overall by 39% in the trial population with highly adherent women having a 54% lower risk of infection. This means a new effective biomedical intervention for females at risk of HIV could become available in PNG in the near future.

The impact of microbicides can be assessed using the PNG HIV Model. Within the model females are assumed to use a microbicide during sexual intercourse only when they are not using a condom and using a microbicide is assumed to have no impact on transmission to male partners. If microbicides become available in PNG and they are used by ‘low risk’ females (general females in the model) during a proportion of their sexual acts, then small reductions in HIV infections are likely (Figure 9). The higher the overall efficacy of a microbicide and the higher the proportion of unprotected acts where it is used, the larger the impact. If microbicides are only available to FSWs in PNG then smaller reductions in infections are expected. However, FSWs make up a small proportion of the female population so the impact per female using
The PNG HIV Model

microbicides is greater (that is, targeting microbicides to FSWs is likely to be more cost-effective than implementing microbicide interventions among all women).

![Image](image_url)

**Figure 9**: Projections of HIV incidence (left) and the number of HIV infections averted (right) in the overall adult population if females and FSWs use a microbicide during unprotected sexual intercourse. Each intervention assumes the use of a microbicide with 39% efficacy except for the case of highly adherent FSWs where the efficacy is 54%.

A concern with the roll-out of microbicides is that rather than being used in addition to condoms, they could result in a lower level of condom use. If this occurs, then it is possible for the number of HIV infections from 2010 to 2020 to increase as a result of microbicide availability (assuming a 39% efficacy) since condoms offer a higher level of protection (Figure 9).

### 3.3.4 Early initiation of HIV treatment

In addition to a longer life expectancy, HIV-positive people on effective ART have a much lower viral load, resulting in over a 90% reduction in the transmission probability to their HIV-negative sexual partners [23, 26]. Due to this large reduction in infectiousness it has been proposed that ART could be used as a strategy to reduce HIV transmission within populations [25]. To effectively implement such a strategy requires infected individuals to be diagnosed as soon as possible after infection and to begin treatment at an earlier stage after diagnosis than is current clinical practise in PNG. This approach warrants serious consideration, including short- and long-term financial viabilities.
Under current conditions it is assumed in the PNG HIV Model that only people with diagnosed AIDS initiate ART. If a proportion of diagnosed HIV-positive people in the chronic/asymptomatic stage begin ART then reductions in HIV infections are likely to occur (Figure 10). This positive impact is enhanced if the diagnosis/testing rate of individuals with chronic/asymptomatic HIV is increased. If there is a 10 percentage point increase in the diagnoses rate of chronically infected individuals, with 20% of those diagnosed initiating ART each year, then an estimated 12,300 (12%) HIV infections will be averted in the period 2010-2020 in addition to the estimated 4,632 (15.4%) AIDS deaths averted. Each of these averted infections corresponds to approximately 1.2 people on average beginning ART.
Table 3).

Figure 10: Projections of HIV incidence (left) and the number of HIV infections averted (right) in the overall adult population if testing and treatment programs are implement in PNG (Note percentage increases represent percentage point increases rather than a relative increase).
Table 3: Number infections averted, the number additional people initiating treatment, and the number of HIV-positive individuals required to initiate ART to avert one HIV infection during the period 2010-2020 for each test and treat scenario.

<table>
<thead>
<tr>
<th>Intervention</th>
<th>Infections averted</th>
<th>Additional people initiating ART</th>
<th>Number of people beginning ART per infection averted</th>
</tr>
</thead>
<tbody>
<tr>
<td>10% of those diagnosed in the chronic stage start ART each year</td>
<td>5247</td>
<td>7365</td>
<td>1.4</td>
</tr>
<tr>
<td>10% increase in HIV testing with 10% diagnosed starting ART each year</td>
<td>7815</td>
<td>10007</td>
<td>1.3</td>
</tr>
<tr>
<td>20% of those diagnosed in the chronic stage start ART each year</td>
<td>8906</td>
<td>11560</td>
<td>1.3</td>
</tr>
<tr>
<td>10% increase in HIV testing with 20% diagnosed starting ART each year</td>
<td>12040</td>
<td>14991</td>
<td>1.2</td>
</tr>
</tbody>
</table>

3.3.5 Prevention of mother-to-child transmission

Mother-to-child transmission is incorporated into the PNG HIV Model to estimate the number of infants who become infected with HIV via their HIV-positive mothers each year. As of 2009, only a small proportion of HIV-positive pregnant women in PNG received PMTCT [1]. If the coverage of PMTCT increases then a corresponding decrease in infant infections will occur (Figure 11). From the PNG HIV model it is estimated that to avert one infant infection approximately 4.7 additional HIV-positive pregnant women need to take PMTCT in the period 2010-2020 (Table 4).
Figure 11: Projections of HIV incidence (left) and the number of HIV infections averted (right) in infants if PMTCT coverage in HIV positive pregnant women is increased (Note percentage increases represent percentage point increases rather than a relative increase).

Table 4: Number infant infections averted, the number of additional HIV positive pregnant women taking PMTCT, and the number of women required to take PMTCT to avert one infant HIV infection during the period 2010-2020 for each increased PMTCT coverage scenario.

<table>
<thead>
<tr>
<th>Intervention</th>
<th>Infant infections averted</th>
<th>Additional pregnant women taking PMTCT</th>
<th>Number of women per infant infection averted</th>
</tr>
</thead>
<tbody>
<tr>
<td>10 percentage point increase in PMTCT</td>
<td>679</td>
<td>3310</td>
<td>4.9</td>
</tr>
<tr>
<td>20 percentage point increase in PMTCT</td>
<td>1357</td>
<td>6620</td>
<td>4.9</td>
</tr>
<tr>
<td>30 percentage point increase in PMTCT</td>
<td>2036</td>
<td>9930</td>
<td>4.9</td>
</tr>
</tbody>
</table>

3.3.6 Comparison of intervention impact on HIV epidemiology

Using the PNG HIV Model, the impact of different intervention scenarios can be compared and the synergistic effect of the combination of multiple intervention strategies can be assessed (Figure 12). If plausible circumcision, condom usage, microbicide, and early initiation of ART scenarios are implemented in PNG then approximately 27% of new HIV infections could be averted over the next 10 years.
The PNG HIV Model

Figure 12: Comparisons of projected HIV incidence (left) and number of HIV infections averted (right) in the overall adult population for different intervention scenarios (from Figure 6 and Figure 8-Figure 10) and the combined impact if all interventions are effectively implemented at the same time.

Other interventions and public health programs can be assessed using the PNG HIV Model. For example, the model incorporates the ability for people with treatment failure to begin second-line therapies which are currently limited or unavailable in PNG. The impact of introducing second-line ART on HIV/AIDS-related deaths can then be assessed in the model. Similarly, the potential impact of treating other STIs on HIV incidence can be investigated. However, the model includes STIs simplistically and clinical trials of STI treatment have generally shown no impact on HIV transmission, so this type of intervention was not considered.
Discussion and conclusions

The PNG HIV Model and software interface has been specifically designed to describe the HIV epidemic in PNG and associated behaviours affecting HIV transmission. As the model links the underlying demographic and behavioural characteristics of populations in PNG with the HIV epidemiology, the model is a useful tool for public officials and stakeholders in PNG to investigate the underlying drivers of HIV transmission to provide a better understanding of epidemiological trends and to assess the impact of future programs and policies.

In recent years an improved understanding of the characteristics of the HIV epidemic has occurred through the expansion of testing services, improved data recording, and studies of specific population groups [1]. This increased knowledge shows that the HIV epidemic in PNG is highly heterogeneous, reflecting the highly diverse and heterogeneous population in PNG, and appears to be concentrated in specific regions of the country [1]. Due to this complexity and the limited data describing the characteristics of many population groups (in particular, assumptions had to be made about the size of the FSW and MSMW population groups and the level of migration between urban and rural areas), the PNG HIV Model is not able to capture all characteristics of the HIV epidemiology seen in PNG. However, using all available demographic, behavioural, and clinical data as well as plausible assumptions (based on consultations with experts in PNG) where there was limited knowledge, the PNG HIV Model was able to accurately reflect the overall behavioural and epidemiological characteristics of the HIV epidemic. As more data become available in the future, for example through integrated behavioural and biological surveys, it can be entered into the model via the software interface and improved evaluations and projections can be made.

Using the default calibration and parameter values, the impact of ART on the HIV epidemic in PNG was assessed. Our results show that the roll-out of ART since 2004 is likely to have had substantial impact on epidemic trajectories, preventing a large number of HIV infections and HIV/AIDS-related deaths (Figure 4). The importance of maintaining the availability of ART over the long term was also demonstrated in the model, with a large increase in deaths and a worsening epidemic likely to occur if ART became unavailable due to a lack of funding or a reduction in medical services (Figure 5). Second-line antiretroviral therapies are currently limited or unavailable in PNG at the current time, which means treatment failure could become common, leading to an increase in HIV-related deaths and new infections. Due to this unavailability the results in this report were generated by assuming there were no second-line
therapies available. However, the PNG HIV Model incorporates second-line treatment so that the impact of rolling out second-line treatment can be evaluated.

Of the interventions considered, the ones with the greatest epidemiological impact were increases in condom use (particularly within the general population) and the early initiation of ART. Male circumcision and microbicides with a moderate efficacy (assumed to be 39% and to be used during non-condom acts) were shown to have a relatively small impact on HIV infections and could potentially result in an increase in HIV infections if they lead to a reduction in condom usage (Figure 9). As well as their expected epidemiological impact, the acceptability and cost-effectiveness of interventions needs to be considered. If an intervention is effective but unacceptable to the population or inappropriate in a particular social context, it is likely to have a limited impact on the HIV epidemic. Hence, it is important for the results from social research to be assessed when considering particular interventions. Similarly if an effective intervention is too costly it may be difficult to implement and maintain due to uncertainties in funding. While the early initiation of ART has a relatively large impact, the feasibility and cost burdens of rolling out such an intervention need to be assessed in the PNG context as it is low-income country with large health morbidities and its population often have poor access to medical facilities. Male circumcision is potentially more feasible in PNG as it is a one-time intervention and potentially acceptable to the male population, given the level of penile cutting already occurring in PNG. Overall, from our modelling, increases in condom use and changes in sexual behaviour are likely to have the largest impact on averting infections in PNG.

As cost-effectiveness is important when evaluating health programs and potential interventions, it is planned that simple cost-effectiveness calculations will be incorporated into future versions of the PNG HIV Model software. In addition, the ability to perform uncertainty analysis will be implemented in the software so that the impact of the high uncertainty in data inputs and behavioural parameters on HIV epidemic trajectories can be assessed.
References


The Papua New Guinea HIV Model - Technical Appendix

Technical Details and Calibration of the PNG HIV Model
Introduction

This technical appendix provides a detailed description of the PNG HIV Model summarised in “The Papua New Guinea HIV Model – Summary and Results” report. A full overview of the modelling methodology used to development the model is presented with all the assumptions used described in detail. This is followed by a description and justification of all the calibrated parameter values used to represent the overall HIV epidemic in PNG. Detailed descriptions of the methods and parameters underlying the PNG HIV Model are provided in this appendix in a transparent manner such that the strengths and limitations of the model can be clearly assessed and the PNG HIV Model can be reproduced and improved by any other mathematical modelling group. The software interface running the model can be used without understanding the methods underlying the model. However, this software is not meant to be used as a ‘black box’ and awareness of the processes and calculation involved in producing all results can be obtained by understanding the equations and methods provided in this technical appendix.
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Description of the PNG HIV Model

The PNG HIV Model has been designed to specifically represent the unique behavioural and epidemiological context of Papua New Guinea. It is based on best-practice epidemic disease modelling and describes the population demographics and characteristics of distinct population groups, the mixing and sexual partnerships between population groups, HIV disease progression and treatment, and the transmission of HIV infection.

Population structure and groups

HIV epidemiological data from PNG and recent estimates of HIV prevalence show a widely varying HIV epidemic depending on geographical location [1-3]. In particular, there appears to be large differences between urban and rural areas within PNG. To capture these differences, the overall population simulated in the model is split into two population categories which can represent the overall urban population and the overall rural population within PNG (as in the main report) but are arbitrary and could represent other population divisions. For example, one population category could represent the population living in Port Moresby while the other category represents the rest of the PNG population. From now on in this report, these population categories will be referred to as the urban and rural population, respectively.

The population within each population category is divided into specific groups containing individuals with different behavioural characteristics. Through consultation with stakeholders in PNG, it was determined that there are at least 4 distinct behavioural population groups that are important in PNG for the transmission of HIV. These are:

- General heterosexual males
- Men who have sex with men and women (MSMW)
- General females
- Female sex workers (FSW)

A population group representing men who have sex with men (MSM) has not been included in the model because the majority of men who report having had sex within another man also engage in sexual intercourse with women [4-7] and there are very few recorded HIV diagnoses attributed to homosexual intercourse [1]. Any men who are exclusively homosexual are included...
in this group. A schematic of the population groups incorporated in the PNG HIV model is shown in Figure 1. The population in each group is binned into 5 year age groups with people over 60 years of age group together: 0-4 years, 5-9, 10-14, 15-19, 20-24, 25-29, 30-34, 35-39, 40-44, 45-49, 50-54, 55-59, > 60 years.

Region of PNG

![Diagram of population groups](image)

**Figure 1**: Schematic showing the division of the PNG population into the distinct population groups represented in the PNG HIV Model. Within PNG overall or a specific region of PNG the populations divided into two categories the “urban” and “rural” population (this division can be arbitrary) which are then further divided into 4 population groups.

Individuals can move between urban and rural areas through migration but when they migrate they remain in the same age group, HIV infection stage, and population group as shown by the arrows in Figure 1. In addition within urban rural areas general females can start sex work and become a FSW and FSWs can stop sex work and return to being a general female.
Disease Progression

For each population and age group shown in Figure 1, individuals are categorized into one of 11 health states. These health states are: uninfected; undiagnosed primary HIV infection; diagnosed primary HIV infection; undiagnosed chronic HIV infection; diagnosed chronic HIV infection; undiagnosed AIDS; diagnosed AIDS; first line ART; first line ART failure; 2nd line ART; and 2nd line ART failure. The movement of people between these stages due to infection, disease progression, and initiation of treatment are shown in Figure 2.

\[
\begin{align*}
\text{Uninfected} & \xrightarrow{\text{Infection}} \text{Primary HIV} \\
\text{Primary HIV} & \xrightarrow{\text{Diagnosed}} \text{Diagnosed Primary HIV Infection} \\
\text{Diagnosed Primary HIV Infection} & \xrightarrow{\text{Diagnosed}} \text{Diagnosed Chronic HIV Infection} \\
\text{Diagnosed Chronic HIV Infection} & \xrightarrow{\text{Diagnosed}} \text{AIDS} \\
\text{AIDS} & \xrightarrow{\text{Diagnosed}} \text{Effective First-Line ART} \\
\text{Effective First-Line ART} & \xrightarrow{\text{First-Line Failure}} \text{First-Line Failure} \\
\text{First-Line Failure} & \xrightarrow{\text{Effective}} \text{Effective Second-Line ART} \\
\text{Effective Second-Line ART} & \xrightarrow{\text{Second-Line Failure}} \text{Second-Line Failure}
\end{align*}
\]

**Figure 2:** Health states used incorporated in the model representing HIV disease stages.

The movement of people between population/age groups and the disease stages in Figure 2 is described by a system of difference equations using a 1 month time step (which is representative of the smallest time gap between recorded data values). The mathematical form of the equations for the number of people within each health state, with respect to time, is shown below. For each symbol, representing a transition rate between population groups or diseases stages, a real-world meaning is provided above each term with a more detailed description for some symbols provided below the equations. This set of 11 equations is in matrix form.
The PNG HIV Model – Technical Details

form encapsulating each age group within a particular population category. The difference equations listed below describe the change in the number of people in each health state every time period. The PNG HIV Model incorporates these 11 matrix equations (health states) specific for each of the 8 population groups and 13 age groups, effectively leading to 1144 difference equations. The number of people in each compartment changes based on per-capita rates of aging, migration, disease progression, HIV testing, initiation of treatment, and mortality. This system of equations is used to reflect the dynamics of HIV epidemics in PNG and allows for differential levels of infectiousness by population group and stage of infection.
The PNG HIV Model – Technical Details

\[
\bar{D}_t^P = \bar{D}_{t-1}^P + \frac{\eta_{t-1}}{\bar{M}} - \frac{\Sigma}{\bar{M}} - \bar{D}_{t-1}^P + M' \bar{D}_{t-1}^P + \bar{\Sigma} \bar{D}_{t-1}^P + \bar{\mu} - \bar{\mu}_P - \bar{T}_P
\]

\[
\bar{D}_t^C = \bar{D}_{t-1}^C + \frac{\eta_{t-1}}{\bar{M}} - \frac{\Sigma}{\bar{M}} - \bar{D}_{t-1}^C + M' \bar{D}_{t-1}^C + \bar{\Sigma} \bar{D}_{t-1}^C + \bar{\mu} - \bar{\mu}_C - \bar{T}_C
\]

\[
\bar{D}_t^A = \bar{D}_{t-1}^A + \frac{\eta_{t-1}}{\bar{M}} - \frac{\Sigma}{\bar{M}} - \bar{D}_{t-1}^A + M' \bar{D}_{t-1}^A + \bar{\Sigma} \bar{D}_{t-1}^A + \bar{\mu} - \bar{\mu}_A - \bar{T}_A + \bar{D}_{t-1}^P
\]

\[
\bar{T}_t^1 = \bar{T}_{t-1}^1 + \tau_{t-1} \bar{T}_{t-1}^1 + \tau_{t-1} \bar{D}_{t-1}^P + \tau_{t-1} \bar{D}_{t-1}^A + \tau_{t-1} \bar{D}_{t-1}^C + \tau_{t-1} \bar{D}_{t-1}^A + \bar{\mu} - \bar{\mu}_A - \bar{T}_A + \bar{T}_{t-1}^1
\]

\[
\bar{T}_t^2 = \bar{T}_{t-1}^2 + \omega_{t-1} \bar{T}_{t-1}^2 + \omega_{t-1} \bar{T}_{t-1}^2 + \bar{\omega} - \bar{\omega}_2 - \bar{T}_2 + \bar{T}_{t-1}^2
\]
The PNG HIV Model – Technical Details

All of the symbols in the equations represent matrices containing age-specific per-capita rates of population movement between HIV health states or population groups. In particular:

- \( A \) is a matrix describing the rate that individuals move from one age group to another

\[
A = \begin{pmatrix}
-\alpha & 0 & 0 & \ldots & 0 \\
\alpha & -\alpha & 0 & \ldots & 0 \\
0 & \alpha & -\alpha & \ldots & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots \\
0 & \ldots & 0 & \alpha & 0
\end{pmatrix}
\]

where \( \alpha \) is the rate that individuals age from one 5 year age group to another.

- \( M \) and \( M' \) are diagonal matrices that describe the rate that individuals in each age group migrate from one population class to another (used to represent urban-rural migration). These rates can be different for different population and age groups and the rate from urban to rural areas can be different to rural to urban rates. The ‘\( t \)’ is used to represent the corresponding population group in the other population class (urban or rural).

- \( \Sigma \) and \( \Sigma^* \) are diagonal matrices that are only non zero for female populations and describe the rate that general females in each age group become FSWs and vice versa. The ‘\( * \)’ in this case is used to represent all other population groups that may become the population group the equations are referring to.

- \( C \) is a diagonal matrix describing the rate that males in each age group are circumcised (for females this is a zero matrix). This matrix can be different for general males and MSMW with rates that vary across age groups.

For males penile cutting and circumcision is only tracked for susceptible males. Once infected all males move into the primary infection stage and their circumcision status is no longer followed.
Transmission of HIV Infection

The per-capita rate of becoming infected or ‘force of infection’ is the most important factor in describing infectious disease dynamics. The force of infection depends on the sexual behaviour in populations and the sexual mixing between population groups. In particular the annual per-capita risk of acquiring HIV infection per uninfected person through sexual transmission depends on:

- the number of people or prevalence of HIV in each HIV-infected stage
- the average number of casual and regular sexual partnerships per person per year
- the average frequency of sexual acts per partnership
- the proportion of acts in which condoms are used
- the efficacy of condoms
- the proportion and efficacy of penile cutting or circumcision (for female to male transmission), and
- the prevalence of ulcerating STIs within each population group
- whether an effective microbicide has been used by females
- whether males are circumcised or have penile cutting
- the efficacy of circumcision and penile cutting in preventing HIV acquisition

The force of infection is calculated every time step in the PNG HIV Model to take into account temporal variations in parameter values and epidemic dynamics. It is expressed mathematically using standard modelling methods which combine the specific risk factors listed above into a binomial expression for the accumulation of risk over multiple exposures. This expression is then used to quantify the average per-capita risk of acquiring infection for individuals in each population and age group.

Separate force of infection expressions are used for casual, regular, and commercial partnerships and for each combination of pairings between individuals of different population, age, and health state groups. For each of the possible partnership pairings the equation for the force of infection for all male and female populations has the following forms

\[ \lambda^F_j(t) = c(1 - (1 - \epsilon_m)\beta)^{np_m(1-p_c)}(1 - (1 - \epsilon_c)\beta)^{np_c(1-\beta)(1-p_c)(1-p_m)}P_{HIV}, \]

and
The PNG HIV Model – Technical Details

\[ \lambda_j^M(t) = c(1 - (1 - \epsilon_{c})(1 - \epsilon_{circ})/(1 - \epsilon_{circ})\beta)^{npc} (1 - (1 - \epsilon_{circ})\beta)^{npc(1-p_e)}]P_{HIV}, \]

respectively, where \( c \) is the average number of sexual partners, \( n \) is the frequency of sex in the given partnership, \( p_c \) is the frequency of condom use, \( p_m \) is the frequency of unprotected sex acts where a female uses a microbicide, \( \epsilon_c \) is the efficacy of condoms, \( \epsilon_m \) is the efficacy of microbicides in preventing females becoming infected, \( \epsilon_{circ} \) is the efficacy of penile cutting/circumcision in protecting males from acquiring HIV from females (\( \epsilon_{circ} = 0 \) in the following two cases: if the susceptible population group consists of uncircumcised males; or if the corresponding partnership is homosexual), \( P_{HIV} \) is the dynamic HIV prevalence in the pool of potential partners for a given sexual mixing interaction (including population group, age group, and health state), and \( \beta \) is the probability of transmission per unprotected sexual act for the given partnership type. The value of \( \beta \) depends on the presence of STIs within partners, the HIV health state of partners, and whether the potential HIV transmission is male-to-female, female-to-male, or male-to-male. It is given by the following mathematical form

\[ \beta = W[(1 - p_{STI})\beta_{ref} + p_{STI}\beta_{STI}]/f_{STI}], \]

where \( p_{STI} \) is the probability that at least one sexual partner has an STI, \( f_{STI} \) is the relative increase in transmission probability due to the presence of an STI, \( W \) is the relative change in transmission probability due to the health state of the infected partner (increase for partners with primary HIV infection or AIDS and lower for partners on effective ART), and \( \beta_{ref} \) is the reference transmission probability from someone in the chronic stage of HIV infection and where there are no STIs in either partner. The reference transmission probability, \( \beta_{ref} \), can take one of three values representing male-to-female, female-to-male, or male-to-male transmission. To calculate the overall risk of a HIV negative person in a specific population and age group acquiring infection, the risk is summed over each partnership type and health state (\( \lambda = \Sigma \lambda_j \)).

The PNG HIV Model also incorporates mother-to-child transmission and estimates probabilistically the number of infants infected each year. In the model the annual number of infected infants for each female age group takes the following form

\[ N = B\{(1 - \epsilon_{m})p_{m} + (1 - \epsilon_{m})p_{m} \beta_{m}F_U + (1 - \epsilon_{m})p_{m} \beta_{m}F_T\}, \]

where \( B \) is the birth-rate of the selected female age group, \( p_{m} \) is the probability that an infected female not already on ART undertakes prevention of mother-to-child therapies/procedures (PMTCT), \( \epsilon_{m} \) is the overall efficacy of PMTCT in preventing infant transmission.
infection, \( \beta_{mtc} \) is the underlying risk of an infant acquiring HIV from their HIV infected mother if the mother is not on treatment or is not taking PMTCT, \( F_U \) is the number of females infected with HIV that are not taking ART, \( \epsilon_{ART} \) is the overall efficacy of ART (for women taking ART) in preventing infant infection, and \( F_T \) is the number of HIV infected women taking ART for their own infection. The total number of infants infected each year is then obtained by summing over female population and age groups.

**Sexual partnerships between population groups**

HIV transmission in the PNG HIV Model occurs through sex within a HIV discordant partnership. Partnerships can occur as regular or casual partnerships between the population groups shown in
Table 1. Note that individuals can only have partnerships with people in the same population category (urban/rural area).
Table 1: Possible sexual partnerships between population groups in PNG HIV Model. Population groups listed as rows can have casual or regular partnerships with population listed across the top of the table if the corresponding intersecting rectangle is labelled with a P.

<table>
<thead>
<tr>
<th>Urban Areas</th>
<th>Rural Areas</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>General Males</td>
</tr>
<tr>
<td>Urban Areas</td>
<td>General Males</td>
</tr>
<tr>
<td></td>
<td>MSMW</td>
</tr>
<tr>
<td></td>
<td>General Females</td>
</tr>
<tr>
<td></td>
<td>FSW</td>
</tr>
</tbody>
</table>

To calculate the per-capita force of infection, the number of each type of partnership males and females in each age have with individuals in other population age groups needs to be calculated. For each female population group the total number of partners each age group has with males is entered as a user input. From this entered value the PNG HIV Model determines the number of partnerships with each male age group. Due to a lack of data on age assortativity of partnerships, the model uses a Poisson distribution to distribute the number of partners within an age group across male age groups with a mean value that can vary across the female age groups and represents the average age difference between male and female partners. This type of distribution has been used for low income settings in Africa and is a reasonable representation of the likely distribution in PNG [8]. After the Poisson distribution is generated for each age the distribution is then binned into 5 year age groups. For MSMW-MSMW partnerships there is no age assortativity and the number of partnerships a particular age group has per year are uniformly distributed across the sexually active MSMW age groups.

To ensure there is a conversation of sexual partnerships between males and females, the per-capita number of partnerships each male population group has with females each year is balanced with the number of partnerships females have with males; for example, the total number of partnerships FSWs have with males is equal to the total number of partnerships
males have with FSWs. This means that if a female population group consisting of $N_a$ females has $c_{ab}$ sexual partnerships each year with males in a population of $N_b$ males then $c_{ab}N_a = c_{ba}N_b$ where $a$ represents the female population group, $b$ represents the male population group, and $c_{ba}$ is the number of sexual partnerships males have with the female population group.

**Model Simulation and Calibration Process**

The PNG HIV model simulates HIV transmission in PNG through four stages:

1. **Population set-up:** In this stage the demographic parameters are set to their initial values and used to generate an initial population. The model is run without HIV transmission for 50 years to establish the correct population proportions and distribution in each population and age group. The final population distribution is saved and used in the next simulation stage.

2. **HIV infection set-up:** Approximately 10 undiagnosed HIV infected people in the primary stage of infection are entered into the model population with the initial population size reset to the 1990 value and using the population distribution obtained from the population burn in stage. The model is then run for 13 years with the sexual behaviour, HIV biology and transmission parameters, and HIV clinical parameters fixed at the their initial values for 1990 to establish the appropriate distribution of HIV infections across the population categories and age groups. It is assumed over this time period that infected people are only diagnosed clinically in the AIDS stage. The resulting population distribution is then used in the next simulation stage.

3. **Simulation of HIV epidemic from 1990 to 2010:** The population proportions returned from the HIV burn in stage with the initial overall population size are used to initialize the model at the start of 1990. The model is then run to simulate the past HIV epidemic in PNG from the start of 1990 to the end of 2010 (or another specified end year). Over this time period the parameter values used are no longer constant over time (as for the previous two simulation stages) and can be varied from year to year to match the changing conditions over this time. The model results for this period are saved and allow the impact of evaluation scenarios to be investigated. The final population sizes for each population and age group at the end of this time period are used to represent current conditions for the simulations of future interventions.

After establishing a baseline HIV epidemic for the 1990 to 2010 period, “what if?” past evaluation scenarios can be investigated by changing parameters from their default values and
comparing the resulting simulated epidemic to the reference epidemic for the 1990 to 2010 period.

4. **Simulation of interventions:** To forecast the impact of interventions the overall population size and distribution across population categories, age groups, and HIV disease stages obtained from the 1990 to 2010 baseline simulation is used to initialize the model population at current conditions. The parameter values at the end of 2010 are then used to forecast the future of the HIV epidemic for the next 10 years. Parameter values are initially fixed at their 2010 values unless changed due to the impact of interventions or to follow pre-existing trends.

Due to the uncertainty in many of the parameter values a range in each parameter value around a fixed default value may be used in the PNG HIV model. An ensemble of parameter sets can then be sampled from these ranges using Latin Hypercube Sampling (via software such as SaSAT [9]). Each of these parameter sets can then be run through the four simulation stages described above. To generate the results in this report this option is not used and a single set of default/baseline parameter values are calibrated to match the overall population and HIV epidemiology of PNG in urban and rural settings for the 1990 to 2010 period. A detailed description of the default parameters is described in the following sections.
Parameter Tables and Calibration to the PNG HIV Epidemic

This section describes the parameters used in the PNG HIV model, the default values used to model the HIV epidemic in urban and rural PNG, and the process used to simulate the HIV epidemic and calibrate the model to HIV epidemiology from 1990 to 2010. In particular a detailed description of all the assumptions used and the reasons for particular parameter values are provided.

Model parameters are used to describe the population demographics, sexual behaviour, HIV biology and transmission properties, and HIV clinical characteristics of the urban and rural PNG populations. The model is calibrated to available HIV epidemiology and clinical data for the period 1990 (a few years after the first recorded case in 1987 [1]) to the end of 2010 and is set to reflect the HIV epidemic in PNG for this period as best as possible. Parameter values are fixed in the model during a simulation unless they are shown to change over the 1990 to 2010 period. The final values of the parameters at the end of 2010 are used to represent current conditions for forecasting the impact of interventions. Using the model parameters described in this section the model produces the figures seen in Figures 2 and 3 in The Papua New Guinea HIV Model - Summary and Results report.
HIV epidemiology in PNG from 1990 to 2010

In this section the particular epidemiology data used to calibrate the model parameters are described. In recent years there has been a large increase in the level of HIV epidemiological data reported for PNG [1]. Despite this increase there is still a great deal of uncertainty about the HIV epidemic in PNG and a number of assumptions about the epidemic had to be made to calibrate the model. The parameters in the PNG HIV Model were calibrated so that the simulated outputs of the model for the overall PNG population best represent the epidemiological data and these assumptions. The primary source of epidemiological data were the PNG National Department of Health STI, HIV and AIDS surveillance reports (the latest of which was published in 2010 [1]), the 2007 Estimation Report on the HIV epidemic in Papua New Guinea [3], and the 2009 PNG HIV Epidemic Update which was presented at the 2009 ASHM Conference in Brisbane [2]. In addition to these sources data from biological and behavioural surveys, journal articles and other publications was obtained.

HIV Prevalence Data and Estimates

The most useful HIV epidemiological indicator for calibrating the model is HIV prevalence. There is a great deal of uncertainty surrounding the level of HIV infection in PNG. Numerous prevalence studies report a high HIV prevalence. However, studies are usually conducted at voluntary counselling and testing sites or STI clinics and the survey population is potentially at a higher risk of HIV infection than the overall population. For example in a recent biological and behavioural survey of attendees to VCT across all provinces of PNG conducted between 2003 and 2008 the HIV prevalence varied from 6.5% in Port Moresby to 0% in rural Tabibul and Wewak [10] with an overall prevalence of 1.8%. A systematic review and meta-analysis of the prevalence of HIV and other STIs in studies conducted in PNG by Vallely et al. [11] reported that in community based studies the HIV prevalence in males and females was 1.8% (calculated from four studies) and 2.59% (calculated from three studies), respectively. In clinic based studies the male HIV prevalence was 6.63% (calculated from two studies) and the female prevalence was 12.03% (calculated from two studies).

In recent years there has been a rapid expansion of testing facilities across PNG. In particular antenatal clinic (ANC) testing has expanded across the country. The recorded HIV prevalence
among pregnant women tested at ANCs is generally much lower than that in reported surveys. Since 1995 the HIV prevalence amongst all pregnant women tested at ANC has risen from 0.1% to a peak of 1.3% in 2005 and then declining to 0.7% by 2009 [1] as shown in Figure 3. The reason for the peak and fall in ANC prevalence is unknown but could be due to the expansion of testing services to areas of lower HIV risk since the mid 2000s which is reflected in the number of tests that were carried out. Up to 2001 approximately 5000 ANC tests were carried out each year, this rose to 12,534 tests in 2005 and then rapidly increased to ~45,000 tests in 2008 and 2009 [1].

![Figure 3: HIV prevalence among women at ANC in PNG from 1995 to 2009](image)

Due to the broader base of testing the ANC HIV prevalence in recent years is likely to be a better reflection of the overall HIV prevalence in the adult general female population in PNG and the results from surveys are more likely to reflect HIV prevalence in at risk populations.

Collating data from antenatal clinics and other government and non-government health facilities the NDoH produces estimates of HIV prevalence in the adult population using the Estimation and Projection Package (EPP) and Spectrum software packages developed by UNAIDS [12]. Using these modelling packages estimates for the overall HIV prevalence where reported in the 2007 Estimation Report on the HIV epidemic in Papua New Guinea [3], and the 2009 PNG HIV Epidemic Update [2]. The estimates obtained are dependent on the level and quality of reporting. Due to the fall in ANC prevalence from 2005 to 2008 there was a marked difference in the 2007 and 2009 EEP estimates. In the 2007 estimates the overall prevalence was predicted to be increasing exponentially with a projected prevalence of approximately 3.5% in 2010.
However, in the 2009 estimates the estimated national prevalence has flattened to slightly less than 1%. This more recent estimate is likely to be more accurate given the higher levels of testing carried out in 2008.

Based on the ANC data and the more recent EPP estimates of HIV prevalence we assume for calibration purposes that the overall adult HIV prevalence in PNG has increased to ~1% by 2010 with some levelling off. From the biological and behavioural survey [10] and the Vallely et al. meta-analysis [11] the female population is assumed to have a higher prevalence than the male population; however, the male and female prevalence is assumed to be similar to the overall prevalence. We also assume that urban areas have a higher HIV prevalence than rural areas.

For the MSMW and FSW population groups there is less data available to estimate the HIV prevalence. The Vallely et al. meta-analysis [11] reported the HIV prevalence to be 11.76% in FSW from four published studies while a respondent driven sampling (RDS) survey of FSW in Port Moresby conducted in 2010 reported a prevalence of 19% [5]. For MSMW the only prevalence estimates found were in the UNGASS Country Progress Reports [13] which estimates the prevalence of HIV in MSM to be 4.35% but this is based on a single report from an NGO program in NCD conducted in 2009; the same NGO report estimated the FSW prevalence to be 5.86% which is much lower than other estimates for this population group. A recent RDS survey of men who sold sex to males and females in Port Moresby reported a prevalence of 8.8%. As discussed in the demographic parameters section below surveys of MSM in PNG tend to be in populations of men with high levels of sexual activity and are not likely to be representative of MSMW in PNG overall. Based on this limited data we aim to calibrate the PNG HIV model such that the HIV prevalence in MSMW is greater than the prevalence in males in the general population but less than 8% in urban areas by 2010. For FSW the HIV prevalence is calibrated to be > 10% in urban areas by 2010 and much higher than that for the general female population.

**Recorded HIV Diagnoses**

In Figure 4 the annual number of reported HIV cases recorded in PNG is shown. The number of diagnoses has increased substantially each year until the year 2008 after which there has been a levelling off and fall despite a large increase in the number of tests carried out [1]. The number of diagnoses in males and females are similar with slightly more females diagnosed than males.
particularly in recent years. The reported diagnoses of HIV are assumed to be accurate and the PNG HIV Model is calibrated to match this data as closely as possible. However, the number of diagnoses is dependent on both the number of people infected with HIV and the number of tests carried out within the population. To calibrate the model we used the prevalence and incidence projections to set the default values of the HIV transmission parameters with the diagnoses data used to calibrate the level of testing in the population.

![Graph showing number of reported HIV infections in PNG from 1990 to 2009](image)

**Figure 4**: Number of reported HIV infections in PNG from 1990 to 2009. Obtained from the 2009 STI, HIV and AIDS Annual Surveillance Report produced by NDoH [1].

The total number of diagnoses recorded since 1990 for each age group up to the end of 2008 (when age has been recorded which has occurred in 55.39% of cases [14]) is shown in Figure 5. This figures shows that in terms of age the peak in female diagnoses occurs in females aged 20 -24 age group while the peak in male diagnoses is in the older 30-34 age group. This difference in the peak age group for diagnoses could reflect age heterogeneity in HIV incidence, age heterogeneity in HIV testing, age assortativity in sexual partnerships, or all of these factors combined (such heterogeneity has also been seen in African settings [15]). This makes it difficult to calibrate the PNG HIV model but the default parameters are calibrated to reflect this age difference in HIV diagnoses as accurately as possible.
Figure 5: Age and sex distribution of cumulative HIV infections reported in PNG, 1987-2008. Data obtained from the 2008 STI, HIV and AIDS Annual Surveillance Report produced by NDoH [14].

In the majority of diagnoses the mode of HIV transmission has not been recorded or is unknown [14]. Where the transmission mode, has been recorded almost all cases are attributable to heterosexual transmission during sexual intercourse between males and females with only 0.18% of cases up 2008 and 1.7% of cases in 2009 attributed to homosexual intercourse [1, 14]. The proportion of transmissions attributed to homosexual transmission seems particularly low given the level of sexual intercourse between men (described in detail in the demographics parameters below) reported in PNG and is likely to be an under estimate. On the other hand this could also contribute to the lower than expected prevalence in MSMW described above. This low value for homosexual transmission is potentially due to high levels of discrimination and stigma towards homosexual men in PNG [6, 16] influencing the willingness of men to report that they engage in homosexual activity or possibly because the majority of MSM in PNG also report having sexual partnerships with women and specify the mode of transmission to be heterosexual. For modelling purposes we assume that is only a relatively small percentage of transmissions overall are due to homosexual transmission between MSMW to ensure the prevalence within MSMW does not become too high.

Up until 1996 the only location where voluntary counselling and testing for HIV took place was in the Port Moresby general hospital which means during the 1990s the vast majority of HIV diagnoses occurred in urban settings with people travelling to Port Moresby and other urban settings to get tested [3] or be diagnosed clinically with AIDS. Since 2004 there has been a rapid increase in the number of HIV tests carried out in PNG [1] (from 1407 recorded tests in 2004 to 123,661 in 2009 [1]). This reflects the rapid scale up of VCT and ANC testing across the
country [1, 2]. In the PNG HIV model the level of testing is described by the proportion of people that get tested each year and this is calibrated to reflect the diagnosis data in Figure 4 and Figure 5 and the rapid scaling up of testing since 2004.

**Roll-out of Anti-retroviral Treatment**

The final HIV epidemiological indicators used to calibrate the PNG HIV model is data on ART usage by HIV positive adults and by pregnant women to prevent mother-to-child transmission (PMTCT). Since 2004 when ART became available in PNG until the end of 2009 6,323 adults have started ART [1]. The number of women who have received PMTCT is increased steadily from 100 women in 2005 to 263 women in 2009 [1]. The proportion of HIV positive pregnant women who receive PMTCT is estimated to be much lower than the required level to cover all HIV positive pregnant women [2]. The parameters describing the initiation of ART treatment in the PNG HIV Model are calibrated so that the number of people who have started treatment and the coverage of people requiring treatment reflect the rapid scale up of ART in PNG.

**List of Main Assumptions about the HIV Epidemic used for Calibration**

From the data described above and other available information the following general list of characteristics of the HIV epidemic in PNG were assumed to calibrate the PNG HIV Model:

- An overall adult HIV prevalence in PNG of approximately 1% by 2010
- Urban prevalence greater than the rural prevalence
- HIV prevalence in urban FSW greater than 10% by 2010
- General female prevalence greater than general male prevalence
- MSMW prevalence greater than general male prevalence but not too high
- Higher incidence in younger male and female populations
- Peak incidence in females younger than peak incidence in males
- Higher number of diagnoses in younger females
- Rapid increase in ART coverage to relatively high levels after 2003
Demographic parameters, population groups, and calibration

In this section the demographic parameter values used to model the HIV epidemic in urban and rural PNG are described. The resulting parameter values are listed in Table 2.

The size of the overall population in 1990 is based on data from the World Bank Data Catalogue [17] and the Papua New Guinea 2000 census [18]. The World Bank estimates the 1990 PNG population to be 4,131,073 people while the 1990 census recorded the total population living in PNG to be 3,607,954. The population size for 1990 in the model simulations was fixed between these two values at 3,800,000 with a population growth rate fixed and calibrated to match the population growth seen in PNG, as shown in Figure 6.

![Figure 6: Overall Population Size for PNG from 1990 to 2010](image)

The overall population growth rate was based on the number of new births reproductive-aged females (assumed to be aged between 15 and 49 years) have each year. To calibrate the model we used a distribution for the proportion of babies born to mothers in each 5 year age group between 15 and 49 based on available fertility rate data for PNG [19]. This distribution is multiplied by a fixed factor that is calibrated so that the overall population size matches the World Bank and PNG census data shown in Figure 6 (the resulted birth rates are shown in Table 2).
From the PNG census conducted in the year 2000, 51.9% of the PNG population is male representing an overall sex ratio of 108 males to every 100 females [18]. This sex ratio is inconsistent with other data such as the 1990 population pyramid obtained from the US Census Bureau website which gives a sex ratio of 53.26% [20]. However, all estimates of the sex ratio for PNG show there are more males than females in the population. Despite this male majority in for modelling purposes we assume a sex ratio of 50% which simplifies the calibration of demographic characteristics and has little impact on the simulated results for the HIV epidemic in PNG.

The death rates of males and females in each age group were estimated from life tables for PNG from the World Health Organization [21]. In these life tables the death rates for each male age group is higher than for the corresponding female age group, to prevent a decreasing sex ratio over time in our model simulations (due to the assumed even sex ratio for births) we assume the same death rate for each male and female age group, equal to the mean of the male and female death rates in the life tables (Table 2). As the oldest age group in the model combines all age groups older than 60 years the value estimated from the PNG life tables is inaccurate due to the simplicity of our model. For the oldest age group the probability of death was calibrated so that the proportion of the population older than 60 years matched available population pyramid data from the US Census Bureau website [20].

The initial proportion of the overall population that is female and male in each model age group is given by the 1990 population pyramid obtained from the US Census Bureau website [20]. The evolution of the population pyramid over time depends on the birth rate, the death rates for each age group and sex, and the sex ratio of newborns. In Figure 7b the overall population pyramid at the end of the population and HIV infection set up simulation stages is shown. This is the population pyramid used to represent the overall PNG population in 1990.

The birth rates, natural death rates, sex ratio at birth and initial proportion in each age group are assumed to be the same for the urban and rural populations. The proportion of the overall population that lives in urban areas is initialized to be 14% in accordance with available estimates [17, 20, 22, 23]. The PNG Census from 2000 estimates that the proportion of people living in urban areas has decreased from ~15% in 1990 to ~13% in 2000 [20]. This agrees with the estimates from the World Bank Data Catalogue which show a decline in the proportion of the population in urban areas from 15% in 1990 to 12.54% in 2010 [17]. These decreases in the proportion living in urban areas are in contrast to anecdotal evidence and expert opinion which
suggests that there is a net migration of people to urban areas (with people moving to urban areas in the search of employment amongst other reasons). However, this decrease in the urban proportion could reflect a higher birth rate in rural areas or the movement of people to large rural industrial sites (e.g. mines) which have similar characteristics to urban areas. For modelling purposes we assume the proportion of people in urban areas is fixed at 14% with migration rates calibrated to maintain this value.

(a)  

(b)

**Figure 7**: Initial population pyramid used to initialize the population set up simulation stage (a) and the resulting population pyramid after HIV transmission burn in period (b) which is used to represent the population in the year 1990.

Population movement within PNG is very common with people travelling regularly across the country to visit family or for employment. While the road network in PNG is limited and overland travel is often restricted to walking there is a well established airline system allowing people to travel from one side of the country to another. Despite this population movement within PNG there is no quantitative data on the number of people that move from one place to another or the time they spend in different areas. Due to this uncertainty we simply assume that 1% of people in rural areas move to urban areas each year. This value is fixed and the same for each age group. However, the results of the PNG HIV Model are sensitive to variations in the level of migration, particularly for the prevalence of HIV in FSWs. While this sensitivity has not been thoroughly investigated here, the proportion of people who migrate to urban areas can be varied using the software interface to assess the impact of migration on the PNG HIV epidemic.
For the specified rural-to-urban migration rate, the urban-to-rural migration rate is calculated so that the proportion of people in urban areas is fixed at ~14% and the proportions of men who are MSMW and women who are FSW in urban and rural populations is maintained.

*Men who have sex with men and women*

In the model males are divided into general males who are heterosexual and males who have sex with men and women (MSMW). The general male population is assumed to be exclusively heterosexual while the MSMW population includes men who are exclusively homosexual as well as men who very rarely engage in sexual intercourse with other men. There is a great deal of uncertainty surrounding MSMW in PNG and their sexual behaviour. Anthropological studies have reported traditional-cultural homosexual intercourse within PNG cultures [16, 24-26], however, recent surveys have found little evidence of this behaviour occurring at the present time in the wider population [16].

There is little documented data on the proportion of the overall male population that have had sex with other men and their sexual behaviour. In the few surveys carried out, a range from 0% to 15% of men interviewed reported having had sex with other men with a wide variation across occupations and location [4, 6, 7, 27, 28]. For example a behavioural surveillance survey of adult male workers recorded that 13.4% of Lae port workers, 1% of military officers, and 0% of truck drivers and Ramu sugar workers have had sex with a man [4, 7]. In another study 11.8% of male youths interviewed from the Eastern Highlands and Madang Province reported having male to male sex [16]. In terms of the sexual behaviour of MSMW there have only been a few studies in PNG specifically looking at this population.

The main study to provide quantitative information on the sexual behaviour of MSMW used respondent driven sampling to recruit and interview MSMW in Port Moresby older than 16 who have had sex with another man in the 12 months prior to being interviewed [6, 29]. Only 23% of these men identified themselves as being gay/homosexual with 10% identifying themselves to be heterosexual. This combined with the results from other surveys showed that the majority of MSMW surveyed also have sex with women (for example 75% in one survey [13]) and thus this population does not constitute a self-contained population within PNG, as is the case for homosexual/gay men in Western countries. In that study a large proportion of men interviewed had very diverse sexual networks and had bought, sold, and had sex with multiple male and female partners in the previous month: 68% had non-paying female partners, ~33% had sold
sex to other men; and ~25% had sold sex to female partners [6, 29]. These results have been reinforced by a more recent study of men who sell sex in Port Moresby [5].

Such sexual behaviour implies this population of men is at high risk of acquiring HIV, however, the sampling method used does not allow an estimate of the size of this population. It is highly unlikely that the majority of MSMW in the PNG population have this level of sexual behaviour given that the estimated HIV prevalence in MSMW is 4.3% [13] and 0.18% of HIV transmissions are reported to be due to homosexual contact, where the mode of transmission has been recorded [14]. It should be noted that this mode of transmission data is likely to be inaccurate as most MSMW have sex with men and women and there are high levels of discrimination and stigma towards homosexual men in PNG [6, 16] influencing the willingness of men to report that they engage in homosexual activity.

The uncertainty in the size of the MSMW population in PNG and their sexual behaviour makes it difficult to model HIV transmission in this population and to understand its contribution to PNG HIV epidemic. The overall contribution this population makes to the overall HIV epidemic in PNG depends on the average sexual behaviour of the MSMW population (despite the likely wide variance) and the size of the population. Given the low number of recorded transmissions due to homosexual contact we assume the average number of male partners MSMW have on average is relatively low (as described in the section on sexual behaviour parameters below).

In terms of population size the data presented above suggests that 0-15% of men in PNG have had sex with another man sometime in the past. To calibrate the PNG HIV Model to the HIV epidemic we assume a proportion in the middle of this range with 6% of urban males and 4% of rural males classified to be MSMW. The higher percentage in urban areas is used to reflect the existence of a higher sexually active homosexual population in urban areas similar to the homosexual populations seen in Western Countries.

As for migration the simulated HIV epidemic is sensitive to the proportion of the male population that is MSMW. Using the software interface the proportion of men that are MSMW in urban and rural areas can be varied and the impact of the MSMW population on the HIV epidemic in PNG can be evaluated.

Circumcision
An important biomedical intervention for the prevention of HIV is male circumcision. Men with their foreskin completely removed have a ~60% reduction in the risk of acquiring HIV during vaginal sexual intercourse [30-33]. Male circumcision in PNG is more complex than in western countries where circumcision usually involves the complete removal of the foreskin when it is performed; usually soon after birth. In PNG a wide range of traditional penile cutting practices consisting of slitting or removing pieces of the foreskin occur with the foreskin rarely being removed fully [34]. These procedures can occur later in life after sexual activity has begun and be performed at home by individuals or in groups with other men [34]. Furthermore, they are likely to be less effective at preventing HIV transmission than completely removing the foreskin. In this report we reserve “circumcision” to mean the complete removal of the foreskin with “penile cutting” used to describe any slitting or partial removal of the foreskin.

There is a limited amount of quantitative data on the proportion of men who are circumcised or have undergone penile cutting in PNG with most knowledge gained through anthropological studies. In one study of 1358 adult male workers, the prevalence of circumcision was reported as 26% amongst truck drivers, 45% among rural Ramu sugar workers, 67% for military personnel, and 70% among port workers [4]; however, in this study men self reported whether they were circumcised or not and there was no information provided on level of foreskin removal required to be defined to as circumcised. There is evidence that men are circumcised just prior to or after they begin sexual activity with a study of 1701 youth in NCD reporting that 58% of sexually active youth reported being circumcised compared to 11% of the non-sexually active males [4]. Again these results could be unreliable due to self-reporting inaccuracies.

Given this lack of quantitative information it is difficult to estimate the proportion of men circumcised or with penile cutting in PNG. To calibrate the PNG HIV model to the PNG HIV epidemic we assume the same proportions of general males and MSMW are circumcised in urban and rural areas. Initially we assume men are circumcised prior to becoming sexually active, however, circumcision of older men can be implemented in the model as an intervention. In the model two types of foreskin removal are considered; penile cutting, which groups all forms of slitting and partial removal of the foreskin into one category, and circumcision which implies the complete removal of the foreskin. Available evidence suggests that only a small proportion of men are circumcised with a much larger proportion having penile cutting [34]. It is assumed that 45% of men have some form of penile cutting and 5% of men are circumcised.
Given the effectiveness of circumcision in preventing HIV, the simulated epidemic produced by the PNG HIV Model is sensitive to the proportion of men with penile cutting/circumcision and the efficacy of penile cutting in preventing HIV infection (which is assumed to be 20% given there is no data available). The proportion of general males and MSMW can be varied using the PNG HIV Model software to explore this sensitivity.

**Female Sex Workers**

The female population in the model is divided into general females and female sex workers (FSW). As for the MSMW population it is difficult to estimate the size of the FSW population. Furthermore, it is difficult to separate female sex workers from the general female population due to the high levels of transactional sex that occur throughout PNG; where money, goods, services, and/or protection is exchanged for sex [10, 16, 25-27]. A number of studies have reported a high percentage of males and females in PNG have engaged in transactional sex. Results from an Eastern Highlands Youth Survey reported that both married and single men and women accepted and paid for sex using cash or gifts [26]. For married men 28% had accepted cash for sex, 28% had paid cash for sex, 40% had paid gifts for sex, and 20% had paid both cash and/or gifts for sex in the previous year; the corresponding percentages for single men where 8%, 12%, 30%, and 7%, respectively. For married women 36% had accepted cash for sex, 7% had paid cash for sex, 7% had paid gifts for sex, and 2% had paid both cash and/or gifts for sex in the previous year with the corresponding percentages for single men reported as 20%, 20%, 24%, and 15%, respectively. In the 2008 UNGASS Country progress report for Papua New Guinea it was reported that 50% of married female youth and 66% of unmarried female youth reported having exchanged sex for money or favours during the previous 12 months with 28% of unmarried female youth had exchanged sex three or more times during the previous year [27]. In addition 46% of married and 42% of unmarried male youth had also engaged in transactional sex [27]. More recently a behavioural and biological survey of HIV and STIs carried out at voluntary counselling and testing sites across the whole of Papua New Guinea from 2003 to 2008 showed that a high proportion of urban and rural males and females engaged in transactional sex with 67% of rural women and 78% of rural men reported engaging in transactional sex at least once in the previous 12 months [10]; at urban sites the corresponding rates were 64% for women and 69% for men, respectively [10]. Due to the high levels of transactional sex in the general heterosexual population in PNG we have incorporated transactional sexual activity into the general male and female model population’s sexual behaviour and only created a distinct female population for FSWs.
As for MSMW there have been a number of studies focused on FSW in particular settings (for example [5]). These studies tend to be limited and fail to provide estimates for the size of the FSW population in PNG. This is partly due to sex work being illegal in PNG and not brothel based as in other South East Asian Countries making it difficult to survey and estimate the size of the population. Research conducted in 1994 in the urban areas of Port Moresby, Goroka, and Lae estimated that 15,000 women were working (at least part-time) as sex workers out of a total population 315,000 people [35]. Assuming approximately 50% of the overall population is female this means approximately 9% of the female population are female sex workers at least part time. For rural areas there is no data available though it is likely that the proportion of females in rural areas who are FSW is much less than in urban settings.

The lack of data on the size of the PNG FSW population makes it difficult to model the HIV epidemic in PNG as the forecasted HIV epidemic produced by the model is highly sensitive to the FSW population size.

Given the lack of data on population size to calibrate the PNG HIV Model we assume that 5% of urban females are full-time FSW who can stop and start sex work by moving to and from the general female population. This assumption is based on the 9% figure describe above assuming most FSW work part time and that this figure is an over estimate when all of PNG’s urban areas are combined. The model FSW population is also assumed to only include full time sex workers with part time sex workers treated as full time sex workers that start and stop sex work. For rural areas we assume a much smaller proportion of 1%.

In the model the proportion of females who are FSW can be age dependent with females in different age groups being more or less likely to be a FSW; for example the very young and very old females might be less likely to be sex workers. Surveys of FSW using respondent driven sampling have reported the median age of FSWs. One study of FSWs in Port Moresby and Goroka reported the median age to be 28 years and 25 years, respectively, with the predominant age group being over 30 years for Port Moresby and 20-24 years for Goroka [6, 29]. Another more recent study of FSWs in Port Moresby reported the median age to be 26 years with a mean of 28.2 years [5]. This suggests that females continue being FSW until a relatively old age. For simplicity we assume that females stop becoming FSWs once they turn 45 years of age and assume the same proportion of females are FSWs in each age group.

The proportion of females who are FSW is dependent on the duration that females engage in sex work. A number of surveys have measured the length of time since FSWs first started
selling or exchanging sex [5], however, there is no data describing the total length of time that FSWs engage in sex work. To calibrate the model we adjust the rate that FSWs stop engaging in sex work so that the HIV prevalence in FSWs reflects available epidemiological data (the exact values used are shown in Table 2). The proportion of general females who then become FSWs each year is then calibrated so that the proportion of females that are FSW remains fixed at 5% in urban areas and 1% in rural areas.
Table 2: Demographic parameters for Urban and Rural PNG
Initial parameter values used to initialize the model population and to simulate the HIV epidemic in urban and rural settings in PNG. Footnotes provide details and references for the parameter values with further details in the main text. The interface column is used to record if the parameter values can be adjusted in the PNG HIV Model software interface.

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<td>5.79</td>
<td></td>
</tr>
<tr>
<td>20-24</td>
<td>4.77</td>
<td>4.77</td>
<td></td>
</tr>
<tr>
<td>25-29</td>
<td>3.94</td>
<td>3.94</td>
<td></td>
</tr>
<tr>
<td>30-34</td>
<td>3.19</td>
<td>3.19</td>
<td></td>
</tr>
<tr>
<td>35-39</td>
<td>2.72</td>
<td>2.72</td>
<td></td>
</tr>
<tr>
<td>40-44</td>
<td>2.24</td>
<td>2.24</td>
<td></td>
</tr>
<tr>
<td>45-49</td>
<td>1.75</td>
<td>1.75</td>
<td></td>
</tr>
<tr>
<td>50-54</td>
<td>1.46</td>
<td>1.46</td>
<td></td>
</tr>
<tr>
<td>55-59</td>
<td>1.11</td>
<td>1.11</td>
<td></td>
</tr>
<tr>
<td>&gt; 60</td>
<td>1.85</td>
<td>1.85</td>
<td></td>
</tr>
</tbody>
</table>
### The PNG HIV Model – Technical Details

| Sex ratio at birth (proportion of babies that are born male) | 50% | No | d3 |
| Birth rate (percentage of females in each group who give birth each year) | | | |
| **Age** | **Birth-rate %** | | |
| 0-4 | 0 | | |
| 5-9 | 0 | | |
| 10-14 | 0 | | |
| 15-19 | 7.48 | | |
| 20-24 | 24.04 | | |
| 25-29 | 23.92 | | |
| 30-34 | 20.34 | | |
| 35-39 | 14.61 | | |
| 40-44 | 7.94 | | |
| 45-49 | 3.57 | | |
| 50-54 | 0 | | |
| 55-59 | 0 | | |
| > 60 | 0 | | |
| Proportion of males and females in each 5 year age group who die before moving to the next age group. | | | |
| **Age** | **Male %** | **Female %** | |
| 0-4 | 7.32 | 7.32 | |
| 5-9 | 0.761 | 0.761 | |
| 10-14 | 0.54 | 0.54 | |
## The PNG HIV Model – Technical Details

### Proportion of the population living in urban areas

<table>
<thead>
<tr>
<th>Age Group</th>
<th>Urban Areas</th>
<th>Rural Areas</th>
</tr>
</thead>
<tbody>
<tr>
<td>Penile Cutting</td>
<td>Circumcision</td>
<td>Penile Cutting</td>
</tr>
<tr>
<td>At</td>
<td>45</td>
<td>5</td>
</tr>
</tbody>
</table>

### Proportion of urban males that are MSMW

<table>
<thead>
<tr>
<th>Age Group</th>
<th>Proportion</th>
</tr>
</thead>
<tbody>
<tr>
<td>15-19</td>
<td>0.945</td>
</tr>
<tr>
<td>20-24</td>
<td>1.36</td>
</tr>
<tr>
<td>25-29</td>
<td>1.542</td>
</tr>
<tr>
<td>30-34</td>
<td>1.91</td>
</tr>
<tr>
<td>35-39</td>
<td>2.513</td>
</tr>
<tr>
<td>40-44</td>
<td>3.281</td>
</tr>
<tr>
<td>45-49</td>
<td>4.45</td>
</tr>
<tr>
<td>50-54</td>
<td>6.2</td>
</tr>
<tr>
<td>55-59</td>
<td>8.849</td>
</tr>
<tr>
<td>&gt; 60</td>
<td>47.5*</td>
</tr>
</tbody>
</table>

### Proportion of rural males that are MSMW

<table>
<thead>
<tr>
<th>Age Group</th>
<th>Proportion</th>
</tr>
</thead>
<tbody>
<tr>
<td>15-19</td>
<td>0.945</td>
</tr>
<tr>
<td>20-24</td>
<td>1.36</td>
</tr>
<tr>
<td>25-29</td>
<td>1.542</td>
</tr>
<tr>
<td>30-34</td>
<td>1.91</td>
</tr>
<tr>
<td>35-39</td>
<td>2.513</td>
</tr>
<tr>
<td>40-44</td>
<td>3.281</td>
</tr>
<tr>
<td>45-49</td>
<td>4.45</td>
</tr>
<tr>
<td>50-54</td>
<td>6.2</td>
</tr>
<tr>
<td>55-59</td>
<td>8.849</td>
</tr>
<tr>
<td>&gt; 60</td>
<td>47.5*</td>
</tr>
</tbody>
</table>

### Proportion of urban and rural males in each age group that undergo penile cutting and circumcision each year

<table>
<thead>
<tr>
<th>Age Group</th>
<th>Proportion</th>
</tr>
</thead>
<tbody>
<tr>
<td>15-19</td>
<td>0.945</td>
</tr>
<tr>
<td>20-24</td>
<td>1.36</td>
</tr>
<tr>
<td>25-29</td>
<td>1.542</td>
</tr>
<tr>
<td>30-34</td>
<td>1.91</td>
</tr>
<tr>
<td>35-39</td>
<td>2.513</td>
</tr>
<tr>
<td>40-44</td>
<td>3.281</td>
</tr>
<tr>
<td>45-49</td>
<td>4.45</td>
</tr>
<tr>
<td>50-54</td>
<td>6.2</td>
</tr>
<tr>
<td>55-59</td>
<td>8.849</td>
</tr>
<tr>
<td>&gt; 60</td>
<td>47.5*</td>
</tr>
</tbody>
</table>

* RMSW: Male who sex with male
### Proportion of females in urban and rural areas that are FSWs (equal to the initial proportion in each age group)

<table>
<thead>
<tr>
<th>Age</th>
<th>Urban %</th>
<th>Rural %</th>
</tr>
</thead>
<tbody>
<tr>
<td>0-4</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>5-9</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>10-14</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>15-19</td>
<td>5</td>
<td>1</td>
</tr>
<tr>
<td>20-24</td>
<td>5</td>
<td>1</td>
</tr>
<tr>
<td>25-29</td>
<td>5</td>
<td>1</td>
</tr>
<tr>
<td>30-34</td>
<td>5</td>
<td>1</td>
</tr>
<tr>
<td>35-39</td>
<td>5</td>
<td>1</td>
</tr>
<tr>
<td>40-44</td>
<td>5</td>
<td>1</td>
</tr>
<tr>
<td>45-49</td>
<td>5</td>
<td>1</td>
</tr>
<tr>
<td>50-54</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>55-59</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>&gt; 60</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

### Proportion of FSW who stop engaging in sex work in year

<table>
<thead>
<tr>
<th>Age</th>
<th>Urban %</th>
<th>Rural %</th>
<th>Yes</th>
<th>d10</th>
</tr>
</thead>
<tbody>
<tr>
<td>0-4</td>
<td>0</td>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5-9</td>
<td>0</td>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10-14</td>
<td>0</td>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>15-19</td>
<td>5</td>
<td>1</td>
<td>Yes</td>
<td>d9</td>
</tr>
<tr>
<td>20-24</td>
<td>5</td>
<td>1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>25-29</td>
<td>5</td>
<td>1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>30-34</td>
<td>5</td>
<td>1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>35-39</td>
<td>5</td>
<td>1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>40-44</td>
<td>5</td>
<td>1</td>
<td>Yes</td>
<td>d10</td>
</tr>
</tbody>
</table>
### Proportion of males and females who migrate rural to urban areas each year

<table>
<thead>
<tr>
<th>Population Group</th>
<th>From Rural to Urban Areas</th>
</tr>
</thead>
<tbody>
<tr>
<td>General males</td>
<td>1%</td>
</tr>
<tr>
<td>MSMW</td>
<td>1%</td>
</tr>
<tr>
<td>General females</td>
<td>1%</td>
</tr>
<tr>
<td>FSW</td>
<td>1%</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Age Group</th>
<th>Proportion</th>
</tr>
</thead>
<tbody>
<tr>
<td>0-4</td>
<td>0</td>
</tr>
<tr>
<td>5-9</td>
<td>0</td>
</tr>
<tr>
<td>10-14</td>
<td>0</td>
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<tr>
<td>15-19</td>
<td>0.05</td>
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<td>20-24</td>
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<tr>
<td>25-29</td>
<td>0.05</td>
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<tr>
<td>30-34</td>
<td>0.05</td>
</tr>
<tr>
<td>35-39</td>
<td>0.05</td>
</tr>
<tr>
<td>40-44</td>
<td>0.05</td>
</tr>
<tr>
<td>45-49</td>
<td>1</td>
</tr>
<tr>
<td>50-54</td>
<td>1</td>
</tr>
<tr>
<td>55-59</td>
<td>1</td>
</tr>
<tr>
<td>&gt; 60</td>
<td>1</td>
</tr>
</tbody>
</table>

Yes d11
The PNG HIV Model – Technical Details

d1: As explained in the main text the size of the sexually active population size in 1990 was assumed to be between the values from the World Bank and the 2000 PNG census [17, 18]. From the initial 1990 population the growth rate (which is assumed to be the same for urban and rural areas and described in d2 below) was set to match the growth of the 15-49 year old population seen in PNG from 1990 to 2008 [17, 18].
d2: As described in the main text these population proportions are based on the population pyramid obtained for PNG from the US Census Bureau website [20].
d3: This value is an assumption as discussed in the main text.
d4: As discussed in the main text the proportion of females who give birth each year is calibrated to match the growth rate seen in PNG from 1990 to 2010. The distribution across age groups is based on data from the 2006 Demographic and Health Survey in PNG [19].
d5: These death rates were estimated from life tables for PNG available from the World Health Organization [21]. * The probability of death for people older than 60 years was calibrated so that the proportion of the population older than 60 years matched available population pyramid data from the US Census Bureau website [20] as described in the main text.
d6: This proportion is based on data from the 2006 PNG Census and the World Bank Data Catalogue [17, 18].
d7: The proportion of men that are MSMW is discussed in detail in the main text.
d8: The proportion of men that are have penile cutting or are circumcised is discussed in detail in the main text. It is likely that PNG men are circumcised after birth or after they become sexually active but it is assumed in the model that men are only circumcised at birth prior to becoming sexually active.
d9: The proportion of females who are FSW is discussed in detail in the main text.
d10: The proportion of FSW who stop sex work and return to the general female population is calibrated to maintain the proportion of females who are sex workers in d11. FSW older than 45 are assumed to rapidly stop sex work.
d11: As discussed in the main text the rural to urban migration rates are assumed to be 1% for each population category and the urban to rural rates are then calibrated so that the proportion of the population in urban areas remains fixed overall and the proportion of MSMW and FSW in urban and rural areas also remains fixed.
Baseline HIV Biology and Transmission Parameters

In this section the parameters and assumptions used to describe the underlying biology of HIV transmission and disease progression are described. These are listed in Table 3. The majority of these parameters are informed by biomedical and clinical data obtained from international studies and are standard for models of HIV transmission. This means the parameters in Table 3 are generally independent of the population characteristics of PNG and have been obtained from available literature. However, there are two parameters that are dependent on the sexual behaviour within the PNG population; these are the reference probability of HIV transmission and the prevalence of sexually transmitted disease in each population group.

The reference probability of HIV transmission is the probability per sexual act that a HIV positive person not on ART in the chronic stage of HIV infection transmits HIV to their negative partner, assuming neither partner is infected with another STI. Three reference transmission probabilities are used in the PNG HIV model representing transmission during sexual intercourse from males to females, from females to males, and between males during anal sex. These probabilities are based on estimates of the per-act transmission probability from cohort studies of heterosexuals in Africa [36-39] and homosexuals in high income countries [40].

These estimates are likely to be similar for vaginal and anal intercourse transmission in PNG as the main HIV subtype in PNG is subtype C which is the dominant subtype in Africa [41]. However, there are two factors that could result in a higher male to female transmission probability in PNG. These are heterosexual anal sex and sexual violence. There is evidence that heterosexual anal sex is relatively common in PNG. For example 20% of females have reported ever having had anal sex [27] and 63% of FSWs have practised penile-anal intercourse [42]. Unfortunately, the frequency of heterosexual anal sex and the proportion of people who engage in it regularly have not been measured so this behaviour cannot be directly incorporated into the model. However, the practise of heterosexual anal sex can be simplistically incorporated into the model by increasing the male to female per-contact HIV transmission probability.

Sexual violence is also common in PNG with numerous studies from across the country reporting large proportions of women that have been forced to have sexual intercourse or have been gang raped; furthermore a large percentage of men report being involved in sexual violence [5, 6, 10, 16, 26]. In one behavioural and biological survey conducted at 12 urban and rural sites across PNG between 26.7% and 80.2% of women had been forced to have sexual
intercourse [10]. The majority of sexual abuse has been reported to occur most frequently within marriage or intimate partner relationships (classified as regular partnerships in the model) [16]. Women engaged in transactional sex or female sex work are particularly vulnerable to sexual violence with 50% of FSW in Port Moresby reporting they experienced forced sex in the last 6 months in a recent survey [5]. In terms of HIV transmission there is a significant association between sexual violence and HIV infection for women with those reporting sexual abuse being twice as likely to be HIV positive to those who report no sexual abuse [43]. However, changes to the underlying reference HIV transmission probability from males to females are unknown and difficult to determine so the affect of sexual violence can only be incorporated in the model simplistically by increasing the male to female per-contact HIV transmission probability. The default values of the transmission probabilities used in the PNG HIV model are shown in Table 3 these have been calibrated to match the available HIV prevalence estimates for PNG.

Another factor affecting HIV transmission is the presence of other sexually transmitted infections. Numerous studies have shown an association between being infected with a STI other than HIV and the risk of acquiring HIV [39]. This is particularly true for ulcerating infections such as syphilis and HSV-2. However, clinical trials investigating the impact of reducing STI prevalence have shown there is no effect on HIV incidence suggesting that other STIs may not increase the probability of HIV transmission as much as thought [44-46]. In the PNG HIV model the estimated prevalence of other STIs is used to determine the probability that at least one partner within a sexual partnership has an STI. The baseline HIV transmission probability is then adjusted by a multiplicative factor to account for the presence of an STI.

Numerous STI prevalence surveys have been conducted in PNG and these have recently been collated in a systematic review and meta-analysis [11]. In this meta-analysis studies estimates for the prevalence of bacterial vaginosis, Chlamydia, HSV-2, gonorrhoeae, syphilis, and Trichonomonas within surveys of males and females in community and clinic based settings and FSWs were estimated. Some important differences were found between urban and rural populations with rural areas generally having a higher STI prevalence than urban areas. This is particularly true for HSV-2 with estimates among rural men varying between 27 and 33% compared to ~8% in Port Moresby. For the PNG HIV model we focus on the ulcerating STIs such as syphilis and HSV-2. For males syphilis prevalence ranged from 3.7% to 12.88% with an overall prevalence in clinic based studies of 5.03% (95% CI 2.9% to 7.16%) and the 12.88% prevalence coming from the only community based study analysed. The prevalence of HSV-2 in males varied from 8.20% to 33.13% in community based studies with an overall prevalence
estimated to be 22.77% (95% CI 4.91% to 40.64%) and there were no clinic based HSV-2 prevalence estimates reported. Syphilis prevalence in females varied from 3.98% to 16.67% with an overall prevalence of 7.92% (95% CI 0.77% to 15.07%) in community based studies and an overall prevalence of 7.86% (95% CI 3.64% to 12.07%) in clinic based studies. The overall HSV-2 prevalence for females in community based studies was 18.39% (95% CI 4.96% to 31.83%). As expected the prevalence of syphilis and STIs is much higher in FSWs with the syphilis prevalence ranging between 22.09% and 41.76% in FSWs with an overall prevalence of 31.14% (95% CI 26.33% to 52.27%).

Focusing on the syphilis results, we assume the STI prevalence for each population group in the PNG HIV model to be: 5% in urban general males; 6% in urban MSMW; 8% in urban general females; and 30% in urban FSW. A higher average prevalence for MSMW is assumed due to their higher sexual behaviour. For rural areas we assume a slightly higher STI prevalence as shown in Table 3. For modelling purposes these values are assumed to be the same for all age groups; in any case there is insufficient data to obtain age-specific prevalence. In terms of time trends the limited number of studies for each STI reviewed by Vallely et al. [11] and the uncertainty for most of the studies is too high for any time trends to be obtained. Thus we also assume that the STI prevalence for each population group is fixed over the 1996 to 2010 period.

*Mother-to-child transmission*

The PNG HIV Model also includes mother to child transmission. The probability that a HIV positive pregnant woman transmits HIV to her child (during pregnancy, child birth, or through breast feeding) is determined from international studies of mother to child transmission [47-51]. In PNG it is likely that most children are breast feed for extended periods so we set the reference transmission probability to 41% [49]. To estimate the impact of impact of prevention of mother-to-child therapies (PMTCT) or being on ART in reducing the risk of transmission to infants we used results from clinical trials [52]. For PNG we assumed that PMTCT for women not on ART involved a single dose of Nevrapine which results in a ~42% reduction in mother-to-child transmission [47, 52]. For HIV positive pregnant women taking ART the reduction in transmission probability is substantially greater at 98% [53]. The coverage of PMTCT in urban and rural areas is discussed in the section on clinical parameters below.
Table 3: Parameter Table for HIV Biology and Transmission Parameters

Parameter values used to describe the biological transmission and disease progression characteristics of HIV. These parameters are used to simulate the transmission of HIV between populations and to describe the disease progression of infected individuals. The value of each of these parameters is fixed over time. Footnotes provide details and references for the parameter values used with further details in the main text.

<table>
<thead>
<tr>
<th>Parameter Description</th>
<th>Estimated Values</th>
<th>Available in GUI</th>
<th>Footnote</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>HIV transmission</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Per-act reference transmission probability from chronically infected females to males during unprotected sexual intercourse</td>
<td>0.00052</td>
<td>Yes</td>
<td>c1</td>
</tr>
<tr>
<td>Per-act reference transmission probability from chronically infected males to females during unprotected sexual intercourse</td>
<td>0.002</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Per-act reference transmission probability from chronically infected males to males during unprotected anal intercourse</td>
<td>0.008</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Multiplicative factor for the change in reference HIV transmission probability due to stage of HIV infection</td>
<td></td>
<td></td>
<td>c2</td>
</tr>
<tr>
<td>Un-diagnosed Primary</td>
<td>9.2</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Un-diagnosed Chronic</td>
<td>1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Un-diagnosed Late HIV/AIDS</td>
<td>7.3</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Diagnosed Primary</td>
<td>9.2</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### HIV progression and death rates

<table>
<thead>
<tr>
<th>Stage</th>
<th>Death Rate per Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>Undiagnosed Primary</td>
<td>0</td>
</tr>
<tr>
<td>Undiagnosed Chronic</td>
<td>0.03</td>
</tr>
<tr>
<td>Undiagnosed Late HIV/AIDS</td>
<td>0.5</td>
</tr>
<tr>
<td>Diagnosed Primary</td>
<td>0</td>
</tr>
<tr>
<td>Diagnosed Chronic</td>
<td>0.03</td>
</tr>
<tr>
<td>Diagnosed Late HIV/AIDS</td>
<td>0.5</td>
</tr>
<tr>
<td>First line ART</td>
<td>0.04</td>
</tr>
<tr>
<td>First line ART Failure</td>
<td>0.3</td>
</tr>
</tbody>
</table>

### Efficacy of condoms

- **Efficacy of condoms**: 95%
- **Reduction in HIV acquisition**: 60% for circumcised general males and MSMW through vaginal intercourse
- **Reduction in HIV acquisition**: 20% for general males and MSMW with penile cutting/slitting through vaginal intercourse

### Reduction in HIV acquisition through vaginal intercourse

- **For circumcised general males and MSMW**: 60%
- **For general males and MSMW with penile cutting/slitting**: 20%
## The PNG HIV Model – Technical Details

<table>
<thead>
<tr>
<th>First line ART</th>
<th>0.04</th>
</tr>
</thead>
<tbody>
<tr>
<td>First line ART Failure</td>
<td>0.3</td>
</tr>
</tbody>
</table>

**Average time to progress from primary HIV infection to chronic HIV infection**

- 6 months
- No [c6]

**Average time to progress from chronic HIV infection to AIDS**

- 8 years

### STI Prevalence

**Prevalence of sexually transmitted infections other than HIV in each population group**

<table>
<thead>
<tr>
<th>Population group</th>
<th>STI Prevalence</th>
</tr>
</thead>
<tbody>
<tr>
<td>Urban general males</td>
<td>5%</td>
</tr>
<tr>
<td>Urban MSMW</td>
<td>6%</td>
</tr>
<tr>
<td>Urban general females</td>
<td>7%</td>
</tr>
<tr>
<td>Urban FSW</td>
<td>30%</td>
</tr>
<tr>
<td>Rural general males</td>
<td>7%</td>
</tr>
<tr>
<td>Rural MSMW</td>
<td>8%</td>
</tr>
<tr>
<td>Rural general females</td>
<td>9%</td>
</tr>
<tr>
<td>Rural FSW</td>
<td>32%</td>
</tr>
</tbody>
</table>

**Multiplicative factor for the increased HIV transmission probability due to the presence of another STI**

- Yes [c7]
- 5
- No [c8]
### Mother-to-Child Transmission

<table>
<thead>
<tr>
<th>Description</th>
<th>Probability</th>
<th>Efficacy</th>
<th>Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>Overall mother-to-child transmission probability during pregnancy and breast feeding assuming no intervention</td>
<td>0.41</td>
<td>Yes</td>
<td>c9</td>
</tr>
<tr>
<td>Efficacy of PMTCT measures for HIV positive pregnant women not on ART</td>
<td>0.5</td>
<td>Yes</td>
<td>c10</td>
</tr>
<tr>
<td>Efficacy of ART in preventing mother-to-child transmission</td>
<td>0.98</td>
<td>No</td>
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</table>

- **c1**: The per-act contact rates for male to female, female to male, and male to male transmission are based on numerous studies [36-40, 54, 55] and represent the probability of HIV transmission from an infected partner who is in the chronic infection stage and not on ART during a sexual act with neither partner infected with other STIs [39]. A recent systematic review and meta-analysis of the per sexual act found that for western populations the female to male probability of transmission was 0.0004 with a 95% confidence interval 0.0001-0.0014 and the male to female transmission probability was 0.0008 with a 95% confidence interval 0.0006-0.001 [39]. When the analysis was applied to low income populations (like PNG) the female to male and male to female HIV transmission probabilities were very similar and much higher; 0.0038 and 0.003, respectively [39]. These higher probabilities are likely due to the higher levels of STI infection, in particular genital ulcer disease, in low income settings. Hence, we base our heterosexual transmission probabilities on the values for western countries and adjust these values using a multiplicative factor if an STI is present. For male to male transmission during anal sex our baseline HIV transmission probability was based on an early estimate of 0.0082 (95% CI 0.0024-0.0276) [54] which is comparable to the results found in a more recent study which characterised transmission by sexual position and circumcision status [40]. The values we use here have been calibrated to match the HIV epidemiology data for PNG while remaining in the reported confidence intervals for the per act transmission probabilities. One exception to this, described in the main text, is that we allow the male to female transmission probability to be higher to take into
account the level of anal sex and sexual violence that are present in PNG [10, 16, 26].

c2: The per act transmission probability is dependent on the disease stage and characteristics of the infected partner. In the model this change in transmission probability is incorporated using a multiplicative factor. The infectiousness of HIV is higher during the early primary stages of infection and during the late stages when AIDS develops. A number of studies have estimated the relative increase in transmission probability for early and late stages of infection. These have been reviewed and combined in a meta-analysis [39] which found the per act transmission probability when the infected partner is in the primary stage of HIV is 9.17 times as infectious as someone in the asymptomatic/chronic stage. For late HIV infection the an infected partner was estimated to be 7.27 times as infectious [39]. It is well known that infected individuals on effective ART have a low probability of transferring HIV to their partners [36-38]. We assume a 90% reduction in transmission probability for people on effective ART which is consistent with reductions found in heterosexual couples [38]. We assume the same reduction for anal intercourse between men as there have been no studies explicitly looking at the transmission within discordant homosexual couples where the infected partner is on effective ART. We assume the same reduction for both first line and second line ART regimes. Finally, infected individuals with virological failure are assumed to have the same infectiousness as HIV positive people in the chronic stage.

c3: This is based on estimates of condom efficacy [56, 57].

c4: The protective effect of complete foreskin removal is estimated from the results of a number of random control trials evaluating the impact of circumcision of HIV transmission [30-32]. For penile cutting and the partial removal of the foreskin there is no data available on the relative effectiveness in reducing the risk of HIV acquisition but it is likely to vary widely depending on the level of foreskin removal. Due to this lack of data in the model we simply assume a 20% reduction for men who have any penile cutting. This value can be changed in the model software interface when more accurate information becomes available.

c5: The rate that individuals die due to their HIV infection, in addition to the natural death rate, is dependent their stage of infection and is based on available clinical data [58, 59]. We assume people with AIDS and that have failed ART have the same death rate. The death rates for people with AIDS correspond to an approximate 2 year life expectancy.

c6: These estimates are based on available clinical data [60-63]. In the model these time periods are represented as rates that are equal to the inverse of these time periods.
c7: The STI prevalence for each population is described in detail in the main text. The prevalence of STIs in each population group is fixed over time and have been calibrated to the HIV epidemic in PNG from 1990 to 2010 the PNG HIV model.

c8: This multiplicative increase in HIV transmission probability if one partner in a discordant partnerships has an STI is based on the estimates for genital ulcer disease in the Boily et al. meta-analysis [39].

c9: The parameters describing mother-to-child transmission are described in the main text. The probability of mother to child transmission is assumed to be the same for all HIV positive pregnant women not taking effective ART and combines the probability of transmission during pregnancy and the probability of transmission through extended breast feeding [47, 49].

c10: The efficacy of PMTCT is based on the once of use of Nevrapine [47, 52]. If HIV positive pregnant females are using effective ART for their infection then the probability of transmission to their child is substantially reduced [53].
Baseline Sexual Behaviour Parameters

Parameters and assumptions used to characterise the sexual behaviour of the PNG population are described in this section with the final parameter values listed in Table 4. In the model we assume females in the population become sexually active when they turn 15 years of age which is consistent with available data, where the age of sexual debut which tends to be reported as 16 to 17 years of age [6, 10].

For the PNG HIV model the most important parameters are the average number of sexual partners each individual has per year and the level of condom usage in the population. Partner numbers for each population group are divided into casual and regular partners and can vary across age groups and over time. In addition age differences in partnerships between males and females are incorporated. Unfortunately the data available on the number of annual partners for individuals in PNG is limited meaning a number of assumptions have to be made to fill in missing or unreliable data. A detailed description of the sexual partner and condom usage parameters and assumptions used in the model are described in detail in separate sections below.

Condom usage for each population group

Condoms are an effective way of preventing the transmission of HIV and other STIs. The preventative effect of condoms is incorporated in the model by estimating the proportion of sexual acts where a condom is used (or probability of condom use) and adjusting the probability of HIV transmission assuming that condoms have an efficacy of 95%. The model describes casual and regular partnerships between: general males and general females; general males and FSWs; MSMWs and general females; MSMWs and FSWs; and between MSMWs.

Estimates of condom usage for each type of partnership are based on the available data obtained from surveys of population groups in PNG. In these surveys a number of measures are used to record condom use, such as the proportion of the population who have never used a condom, always use condoms, or consistently use condoms over a certain time period. The most useful measure for modelling purposes is the proportion/probability of condom use at last act which is what will be focused on here. However, other measures of condom use are useful for giving an upper or lower bound on this probability. Note that since a condom is used during
an act between two people in different population categories the proportion of sexual acts protected by condoms for each population group will be the same for that type of partnerships; however, this may not be shown in behavioural surveys due to inherent measurement bias.

In PNG knowledge of condoms is generally high but the use of condoms in the general population tends to be low and inconsistent with many studies reporting a high proportion of people having never used a condom including those that are HIV positive [16]. Sexual behavioural surveys in the PNG population are generally focused on population groups at risk of HIV such as FSWs, MSMWs, and males in particular employment groups and are generally done in urban settings [16]. Additionally most of these surveys have been conducted since 2005 and are often in small specific populations. This means it is difficult to estimate the per act condom usage for each type of partnership and the time trends from 1990 to 2010. For each type of partnership we show all the available estimates for the proportion of last acts protected with a condom over time. We then estimate the probability of condom use from 1990 to 2010 for each type of partnership in rural and urban settings. In general there is very little data for partnerships in rural areas; however, a few reports suggest that sexual behaviour between males and females is similar in both settings [26]. Nevertheless we expect condom use to be less in rural areas, mainly due to a lack of availability and lower knowledge, and assume that condom use is a certain percentage less than in urban areas unless there is evidence to the contrary.

The condom values used in the PNG model are based on the actual values and trends in condom usage reported. For all population groups we assumed that the condom usage in rural areas followed the same trends over time as urban populations but have a 50% lower value due to lack of access to condom supplies. In all cases we fit the same simple function to the available data values. This function starts at a low constant value from 1990 to 1995 before beginning to rise linearly to a potentially higher value in 2005 before levelling off towards 2010. Examples of this fitting function can be seen in Figure 8 and Figure 10.

**General Male and General Female Partnerships**

Self reported values for condom use at last act from surveys of males and females in the general population were used to estimate condom usage in casual and regular partnerships between general males and general females. Data values for casual partnerships include
transactional (non-commercial) relationships. All of the values obtained and the corresponding survey year are shown in Figure 8 and were obtained from numerous sources [4, 10, 13, 25, 27, 64-67].

These values were obtained from volunteer behavioural surveys of men or women at VCT, ANC clinics, or STI clinics. Surveys have mostly focused on youths or males with careers thought to be at risk of HIV such as truck drivers, port workers, plantation workers, petroleum workers, and military offices. The majority of values used are from time periods since 2003 and for casual or transactional (non-commercial) partnerships. The few values for condom use during the 1990s tended to be very low [25]. Only a few values were obtained for rural populations which generally had lower condom use than urban populations. This was particularly true for two studies in 1995 where only 5-7% of women reported having ever used a condom [64, 65].

![Figure 8: Condom usage over time for (a) casual and (b) regular partnerships between general males and general females. The dots represent available data values while the lines represent the default values used in the PNG HIV Model. Data values can be from distinct methodologies and represent condom use at last sex or based on self-reported values of consistency of condom use over a certain time period, hence they should not be compared directly but are used to indicate the level of condom use.](image)

Generally there is a large variation in condom usage values across surveyed populations. For example a recent biological and behavioural survey of attendees to VCT across all provinces of PNG conducted between 2003 and 2008 reported that 5.6% to 50% of males and females reported using a condom during their last sex act. There was a wide variation across the sexes and across the sites though females generally reported higher condom usage than males at each site and urban areas generally had higher levels of condom use than rural areas [10]. As shown in Figure 8 there are very few data values for regular partnerships. All of these values were from surveys since 1995 and were generally very low (< 20%). From one bio-behavioural
survey of ANC clients in Port Moresby conducted during 2008, 94% of women did not use a condom at last sex with their regular partners and only 5% reported they had used a condom at last sex with their husband [67].

Based on the condom usage data presented in Figure 8 we assumed a simple increase in condom usage over time from a low value in 1990. For casual partnerships in urban areas 5% of sexual acts were protected with condoms in 1990 which means a value of 2.5% for rural areas under our assumptions. This condom usage was assumed to be constant until 1995 before rising linearly to a level of 40% and 20% during the 2006 to 2010 period in urban and rural areas respectively. The condom usage level for regular partnerships is assumed to be half that of the casual partnership value.

General Male and FSW Partnerships

Condom use in sexual partnerships between general males and FSW was estimated from surveys of FSWs and males who engage in sex work. Casual partnerships included partnerships with commercial clients as well as with non-paying partners.

Often these values were obtained from male population groups in professions at risk of HIV infection (Truckers, Dock Workers, Sailors, Police, and Security workers), women attending STI clinics, or from respondent driven sampling (RDS) of FSW in Port Moresby, Goroka, Lae and along the Highlands Highway. All of the values and the corresponding survey year are shown in Figure 9 and were obtained from [3, 4, 6, 13, 27, 29, 35, 42, 66, 68]. Generally there is a large variation in condom usage values across surveyed populations. However, as seen in Figure 9 there is an increasing trend from lower values for casual/commercial partnerships in the early 1990s to much higher levels in the last decade.
Figure 9: Condom usage over time for (a) casual and (b) regular partnerships between general males and FSW. The dots represent available data values while the lines represent the default values used in the PNG HIV Model. Data values can be from distinct methodologies and represent condom use at last sex or based on self-reported values of consistency of condom use over a certain time period, hence they should not be compared directly but are used to indicate the level of condom use.

There are fewer data values for condom usage between FSW and their regular male partners (Figure 9). The values vary between 9% and 56% and are higher than condom usage in casual partnerships between general males and general females. Unlike casual partnerships there is no apparent trend over time but we still assume a much lower value for the 1990 to 1995 period.

For commercial or client partnerships between FSW and males in urban areas we assume a condom usage of 10% between 1990 and 1992 before a rapid rise up to 70% during the 2006 to 2010 period. The condom usage level for regular partnerships between FSW and males is assumed to be half that of the corresponding casual partnership value. For urban areas this usage level rises to 40% for the 2006 to 2010 period.

MSMW and MSMW Partnerships

There is very little information on condom usage during anal sex in partnerships between men. All the data values found are shown in Figure 10 and were obtained from urban settings and are for casual, transactional, or commercial partnerships [3, 6, 13, 27]. The vast majority of data values come from RDS surveys of MSM in Port Moresby [6]. The population in this survey is highly sexually active with a high proportion buying and selling sex to both males and females [6]. The only data available for no condom usage in regular partnerships between men was for regular male commercial clients [6] with 45.6% to 50% of men using condoms during their last act with regular male clients [3, 6].
Figure 10: Condom usage over time for partnerships between MSMW and MSMW.

Since the available condom use data for partnerships between MSMW (as shown in Figure 10) is from a population of highly sexually active men we assume that the condom usage values obtained from these reports are over estimates for the overall MSMW population. In urban areas we assume the condom usage is initially 10% for casual partnerships between MSMW in 1990. Over time this rises to 40% by 2010 as shown in Figure 10. Again we assume that the condom usage in rural areas is 50% of that in urban areas. None of the data obtained for MSMW described condom usage within regular partnerships so it is assumed that the condom usage in these partnerships is the same as for regular partnerships between general females and males.

MSMW and Female Partnerships

The only data available for MSMW and female partnerships comes from the RDS surveys of MSM in Port Moresby described above which recorded condom use with non-paying female partners, female clients, and FSW [6]. This survey was carried out in 2005 and the condom use values were relatively high, between 47% and 67% at last act [6].

As discussed previously this highly sexually active population is not representative of the MSMW population in the model which is more similar to the general male population. Due to this we assume that condom usage between MSMW and general females and FSW is the same as the values for general males.
Sexual partner numbers for each population group and partnership formation

In the PNG HIV model the average number of sexual partners per year in each population group have with individuals in other population groups is used to calculate the force of infection. For PNG there is a rich anthropological and qualitative literature describing the sexual behaviour in the country which is of limited use for calibrating the model (e.g. [69-71]). To estimate the number of sexual partners specific population groups have each year, data was obtained from behavioural surveys of volunteers who were asked the number of sexual partners they had over a certain time period. The most useful measure for modelling purposes is the average or median number of partners in the previous year but in these surveys sexual partner numbers are usually categorized into casual, transactional, commercial (sex work), or regular (long term) partnerships and the numbers are often binned (e.g. 0 partners, 1 partner, > 2 partners). While these measures are not ideal they are useful for indicating the level of sexual activity and providing bounds for partner number estimates. In particular the proportion of the population that is married gives an indication of the number of regular partners individuals have each year. In terms of regular partnerships the number had each year includes long term partnerships (such as spouses) that are carried over from year to year.

For all female population groups a proportion of partnerships will be with MSMW with the remainder with general males. It is assumed that the proportion of partnerships with MSMW is equal to the proportion of the male population that is MSMW as shown in Table 4.

To match the differences in peak age of diagnosis for males and females and an expected split in the age of peak incidence a 5 year age difference in partner ages for females of all ages was used. Too large an age gap means the incidence in younger men is too low and testing rates for young men have to be unrealistically high to match the diagnoses data. Using the same age gap for casual and regular partnerships in all female population groups in the PNG HIV model results in females of a particular age group having partnerships mostly with men in the age group above theirs and ~10% of their partners will be with men 10-15 years older.

The PNG HIV model also allows the number of sexual partnerships to change with disease stage. While there is no data available describing changes in partner numbers for people diagnosed with HIV, suffering from AIDS, or on ART we assume a 5% reduction in partner numbers for individuals who have been diagnosed with primary or chronic HIV infection and a 15% reduction for individuals with late stage HIV/AIDS, on ART, or with virological failure. This
The assumption is based on the idea that people diagnosed with HIV change their sexual behaviour due to education or counselling post diagnosis, when they get treatment, or because of illness/sickness due to late stage disease or AIDS.

FSW and client partnerships

Female sex workers in urban and rural areas have casual and regular partnerships with general males and MSMW. For FSWs casual partnerships combine commercial clients and non-paying partners. For modelling purposes we assume all casual partnerships have the same characteristics and are representative of commercial partnerships. Regular partnerships between FSW and males consist of all long term partnerships that FSW have; e.g., husbands. We assume for the PNG HIV model that FSWs in each age group have more regular partners than general females but with the same age distribution. While commercial partnerships are assumed to be casual partners, FSWs may also have long term clients who repeatedly engage in sexual intercourse. For modelling purposes these long term partners are considered to be multiple casual partnerships which mean the casual partner numbers may be increased to incorporate long term client partnerships. Nevertheless some partnerships with long term clients may be more like marital partnerships and such partnerships are counted in FSWs regular partnerships. As for general females a proportion of casual and regular partnerships are specified to be with general males with the remainder of the partnerships with MSMW. We assume this proportion is the same as for general females.

In this section the number of casual/commercial partners FSWs in each age group have with males is estimated and used to calculate the number of casual partners they have with each male age group. Within the model the total number of partnerships males have with FSWs is then calculated using the distributions and partnership balancing described previously.

A number of surveys and studies of FSWs have been carried out in PNG providing data on partner numbers [4, 6, 26, 35, 42, 68, 72]. Generally, self defined commercial sex workers report 150-300 sex partners in the previous year [26]. One study of transport and sex workers began in 1996 and assessed the impact of an education and peer support program for HIV prevention. In this study ongoing changes in FSW sexual behaviour were measured within the program participants but the initial estimates for partner numbers are likely to be representative.
of background sexual behaviour. The number of clients per week for FSWs in Port Moresby was measured to be 2.9 (150 per year) in 1996 and 4.9 (255 per year) in 1998 while for Lae the number of partners per week was 3.2 (166 per year) in 1996 and 2.6 (135.2) in 1998. Similarly, in a survey of 407 self-identified FSWs conducted during 1998-99 the number of clients per week in Port Moresby and Lae was reported to be 3.5 (182 per year) and 3.0 (156 per year), respectively, with an overall mean of 3.3 (172 per year) clients per week [68]. A more recent survey of 211 FSWs along the Highlands Highway in 2001-02 found that the number of clients per week ranged between 1 and 8 with a mean of 3 clients per week (156 per year) [42].

Data from other surveys from the last decade remain consistent with the results from earlier surveys. A respondent driven survey of 235 FSWs in Port Moresby 227 FSWs in Goroka during 2005 reported that over 70% had between 1 and 6 clients in the previous week (50-300 per year) [6]. For Port Moresby 21.1% had more than 7 partners in the previous week while the corresponding value for Lae was 9.2%. For this survey the mean or median number of partners per week was not reported, rather partner numbers where binned into 0, 1-3, 4-6, 7-9, and >= 10 clients per week. If we assume that FSWs with >= 10 clients per week have on average 12 clients per week and multiply the reported proportions with the midpoint value of these ranges then the average number of clients per week in Port Moresby and Lae is equal to 4.6 (239.2 per year) and 3.6 (187.2 per year), respectively. Finally a behavioural surveillance survey of highway and non-highway based FSWs in Lae and Mt Hagan in 2006 recorded the number of clients and non-paying partners FSWs had in the previous week [4]. Highway based FSWs had a median of 2 clients per week (104 per year) compared to 4 clients per week (208 per year) for non-highway based FSWs. As for the previously discussed survey the partner numbers where binned into 0, 1-3, 4-6, 7-10, and >= 11 partners per week. Performing the same weighted average calculation under the same assumptions results in highway based FSWs having an average of 3.2 clients and 2.1 non-paying partners in the previous week. For non-highway based FSWs these averages are 4.8 and 1.3, respectively. Based on this survey we estimate that overall highway and non-highway FSWs have 5.3 (275.6 per year) and 6.1 (317.2 per year) casual partners per week. In a 2010 survey of 441 FSW in Port Moresby the average number of clients per week was 6.2 (322.4 per year) [5]. All of the values obtained and estimated for the number of casual partners FSWs are shown in Figure 11.
As there is no data available describing the number of clients or commercial partners versus the age of FSWs we assume that FSWs have the same casual partner number distribution with age as general females. The actual number of casual partners FSWs have per year is calibrated in the model to both match the available data and reproduce the trends seen in the overall HIV prevalence in PNG and the estimated HIV prevalence within FSWs. These values are given in Table 4 with the value for the 30-34 age group plotted in Figure 11. The data in Figure 11 shows that the number of partners FSW have each year is highly uncertain but appears to be relatively level over time. As there is limited data comparing urban and rural FSW populations we assume that FSW in urban and rural populations have the same number of partners each year.

![Figure 11: Number of casual partners per year for FSWs from 1990 to 2010. The black discs correspond to actual data values or estimates based on available data while green line represents the annual partner numbers for 30-34 year old age FSW in the PNG HIV model.](image)

**MSMW partnerships**

As discussed previously there is a great deal of uncertainty in the size of the MSMW population in PNG and their sexual behaviour. In terms of the number of sexual partners only one respondent driven sampling survey has reported the type and number of partners MSMW have each year [6, 29]. This study was conducted in Port Moresby and surveyed 227 men in 2005 older than 16 who have had sex with another man in the 12 months prior to being interviewed [6]. This survey population had a very high level of sexual activity. In the month prior to being interviewed: 66.7% having more than 1 non-paying male partner; 29.9% had more than 1 one-time male client; 44.1% had more than one regular client; 47.8% had more than 1 non-paying
female partner; 4.9% had more than 1 female client; and 19.2% had more than one FSW partner. These results are similar to those found in a 2010 survey of 96 men who sell sex in Port Moresby [5]. In this survey the men had on average 2.9 clients per week (150.8 per year) with 50% having more than 1 casual non-paying partner and 54% having more than 1 non-paying regular partners in the previous six months. In terms of sexual behaviour 69% had vaginal intercourse, 57% had anal intercourse with females, and 71% had anal intercourse with the same sex in the previous six months [5].

This diversity and number of sexual partnerships implies this population of men is at high risk of acquiring HIV and it is highly unlikely that the majority of MSMW in the PNG population have this level of sexual behaviour given that the estimated HIV prevalence in MSMW is 4.3% [13] and 0.37% of HIV transmissions are reported to be due to homosexual contact, where the mode of transmission has been recorded [14].

Due to the low number of recorded transmissions from homosexual contact and the relatively low HIV prevalence in MSMW we assume the average number of male partners MSMW have each year is low, at 2 casual partners and 0.1 regular partners per year for urban MSMW, reflecting infrequent sexual intercourse between men on average. These values are calibrated to the available HIV epidemiology data in PNG (shown in Table 4) and are assumed to be the same for all age groups. Finally we assume urban MSMW have double the sexual partners of rural MSMW to reflect the presence of a higher sexually active homosexual population in urban areas.

**Partnerships between General Females and Males**

In this section the number of partners general females in each age group have with males is estimated. These estimates are then used to calculate the number of partners with each male age group, and the number of partnerships a male has with females on average, using the distributions and partnership balancing described in the methods section. A proportion of these partnerships are specified to be with general males with the remainder of the partnerships with MSMW. While we need to estimate the number of partners females have each year, partnership balancing allows the use of male partnership data to estimate the female partner numbers (assuming an approximate 1:1 sex ratio).
Estimates for the number of sexual partners for the general population were obtained from a small number of reports describing the results from behavioural surveys [4, 10, 13, 26, 27, 64, 65, 73]. Most of these studies bin the number of partners into broad categories (for example 0, 1, or > 1 partners per year) making it difficult to estimate the number of partners per year. One study described in a book published in 1995 on sexual behaviour in PNG provided the lifetime and yearly number of sexual partners from 95 sexually active men and 91 sexually active women [25]. On average the men had 3.7 partners in the previous year with 37.7% of these men having one partner and 57.3% having two or more partners. The number of partners in the previous year for each male age group was also reported with a wide range of partner numbers varying between 1.9 partners and 7.4 partners (due to the small numbers for each age). There tended to be higher values for 25 to 40 year olds but overall there was a gradual decline in numbers from slightly more than 4 for 15 year olds to approximately 3 for 60 year olds. Additionally the lifetime number of partners for men varied greatly with age ranging between 1 and 100 partners but the age trend consisted of a gradual increase from approximately 10 partners at 15 years to about 20 partners by 60 years. For the surveyed women the average number of partners was 3.5 in the previous year with 49.4% having one partner and 45.6% having two or more partners.

Another survey of Eastern Highlands youths conducted in 1998 [26] reported similar but higher numbers of sexual partners with males (both married and single) having a median number of 5 partners in the preceding year, single women having a median of 4.5 partners, and married women having 2 partners. In this study a high percentage of the population had more than 10 partners in the previous year ranging between 11% (for married females) and 29% (for married men).

These results from these two studies from the 1990s suggest that there is a relatively high level of sexual activity in the general PNG population which gradually declines with age. This has been reinforced with more recent studies, such as a study at the Port Moresby General Hospital STI Clinic in 2005 which recorded that almost all the participants had more than 1 partner in the previous 3 months with 15% having more than 5 partners [73].

In more recent reports the mean number of partners per year is usually not documented rather partner numbers are stratified into crude categories. One behavioural survey of males and females reported that 48% to 62.3% of the population had one partner in the previous year and
8.9% to 38.7% of the population had more than one partner in the previous 12 months with married females having the least number of partners [4]. Similarly, in a recent biological and behavioural survey of males and females in urban and rural areas across PNG 44% to 55.9% of urban males (for married and single males respectively) had more than one partner in the previous 12 months; this compares with approximately 40% of males in rural areas having more than one partner. There was a similar difference in the proportions for urban and rural females however the proportion of females with more than one partner tended to be less than that for males particularly for married females [4]. These wide ranging but similar proportions for the number of people with more than one partner in the previous year are consistent to that reported for various population groups in the 2008 and 2010 PNG UNGASS reports [13, 27] and the data from the 1995 survey described above [25] where 57.3% of males and 45.6% of females had two or more partners in the previous 12 months. This relative consistency suggests that the number of sexual partners per year in the general population has been consistent over time from 1990 to 2010. However, given the increased prevalence, awareness, and fear of HIV in PNG we might expect partner numbers to decrease in the general population. The consistency seen could be due to surveys recruiting individuals voluntarily meaning they represent individuals that consider themselves to be at risk of infection due to their sexual behaviour.

The biological and behavioural survey discussed above also measured the number of regular partnerships males and females had in the previous year depending on their marital status 21% to 29% of urban men had more than one regular partner in the previous year compared to a range of 5% to 29% for urban women. For rural males and females the corresponding ranges were slightly lower at 13% to 22% and 4% to 32% respectively. This suggests that general females have between 1 and 2 regular partnerships each year. There is little other data available specifying the number of regular partnerships, however, the marital status of individuals is often recorded which can provide a lower bound for the number of regular partners. From population surveys the proportion of males and females married tends to be greater than 60% [4, 10] with a substantial proportion of married men having two or more wives [10]. For youths the data can be conflicting with the some surveys giving the proportion of 15-24 year olds married to be 10% to 20% [4] while other studies give the average age of marriage to be 16 to years of age (which is soon after sexual debut) [27]. This married data suggests that on average individuals in the general population have at least 0.6 regular partners each year.
The available data discussed here suggests that during the 1990s females had between 3 and 5 sexual partners annually (combining casual and regular partners). The exact values used for casual and regular partner numbers in the PNG model is shown in Table 4 and are calibrated to match the available HIV epidemiological data for PNG while being representative of the behavioural data presented in this section. As there is limited data comparing urban and rural populations we assume that females in urban and rural populations have the same number of partners each year. In terms of the variation in the number of casual partners with age the limited data available suggests that younger people have more casual partners with a decline over time. To model this decline we assume a linear decrease with age in casual partner numbers for general females as shown in Table 4. For regular partners we assume the change in the number of partnerships with age has a peak in the 20-24 and 25-29 year age groups similar to the change in the birth rate with age shown in Table 2. The change in birth rate with age is likely to be representative of the relative change in the proportion of females who are married or with long term sexual partners. All general females 35 and older are assumed to have the same number of regular partners reflecting their likely marital status.

If all the sexual behaviour parameters other than condom use remain fixed over time then the PNG HIV model accurately reflects the initial growth in the estimated prevalence and incidence of HIV within PNG from 1990 to 2000 (see Figure in the PNG HIV Model – Summary and Results Report) but the levelling off in prevalence and the peak and fall in incidence is not captured by the model unless there is a change in HIV transmission parameters over time from around the year 2000. To achieve a flattening out in HIV prevalence the number of partners between general females and general males is reduced over the 2000 to 2010 period by 10%. While there is limited data to suggest such changes in these sexual behaviour values these reductions are reasonable. Since the year 2000 there has been an expansion of education and knowledge campaigns and VCT services increasing the awareness of HIV which is likely to create more concern surrounding HIV and result in changes in behaviour such as reductions in sexual partners. Under this assumption the model produces a simulated incidence curve that levels off and falls slightly after 2003. The fall in incidence after 2003 is not as large as the EPP projection estimates from the NDOH [2]. The model can follow the estimated incidence curve more closely but this requires a much larger reductions in the number of sexual partners after 2000 that are likely to be unrealistic.
Table 4: Sexual behaviour parameters for urban and rural populations in PNG
Parameter values used to describe the sexual behaviour of specific populations groups in urban and rural PNG from 1990 to 2010. The value of each of these parameters can vary over time from 1990 to 2010. This is shown by specifying the parameter value for 1990, 2000, and 2010 and displaying the change graphically in the main text where appropriate. Footnotes provide more details and the references for the parameter values with further details in the main text.

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The PNG HIV Model – Technical Details

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Proportion of casual and regular partners with urban general males

Equal to 1 minus the proportion of men that are MSMW

Sexual Partners – Urban General Males

Number of casual and regular partners with urban females

Balanced with general female and FSW partner numbers

Sexual Partners – Urban MSMW
## The PNG HIV Model – Technical Details

### Number of casual and regular partners with MSMW per year

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### Number of casual and regular partners with urban females

Balanced with general female and FSW partner numbers

### Sexual Partners – Rural General Females

- **Number of casual and regular partners with rural males per year**
  
  Same values as that for urban general females

  Yes  b8

- **Proportion of casual and regular partners with rural general males**
  
  Equal to 1 minus the proportion of men that are MSMW

  b9

### Sexual Partners – Rural FSW

- **Number of casual and regular partners with rural males per year**
  
  Same values as that for urban FSW

  Yes  b10

- **Proportion of casual and regular partners with rural**
  
  Equal to 1 minus the proportion of men that are MSMW

  b11
### The PNG HIV Model – Technical Details

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#### Sexual Partners – Rural General Males

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#### Sexual Partners – Rural MSMW

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<th>Number Regular Partners</th>
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<table>
<thead>
<tr>
<th>Number of casual and regular partners with general females and FSW per year</th>
<th>Balanced with general female and FSW partner numbers</th>
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Change in partner numbers due to HIV infection
### The PNG HIV Model – Technical Details

#### Percentage reduction in the number of sexual partners for HIV infected individuals due to their HIV infection

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<th>Casual Partners</th>
<th>Regular Partners</th>
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<th>b15</th>
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<td>Undiagnosed Primary</td>
<td>0%</td>
<td>0%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Undiagnosed Chronic</td>
<td>0%</td>
<td>0%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Undiagnosed AIDS</td>
<td>15%</td>
<td>15%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Diagnosed Primary</td>
<td>5%</td>
<td>5%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Diagnosed Chronic</td>
<td>5%</td>
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<tr>
<td>Diagnosed AIDS</td>
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<td></td>
</tr>
<tr>
<td>First line ART</td>
<td>15%</td>
<td>15%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>First line ART Failure</td>
<td>15%</td>
<td>15%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Second line ART</td>
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<tr>
<td>Second line ART Failure</td>
<td>15%</td>
<td>15%</td>
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#### Condom Usage

Per act probability of condom usage

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<td>5%</td>
<td>22.5%</td>
<td>40%</td>
<td>2.5%</td>
</tr>
<tr>
<td>urban general female-MSMW</td>
<td>5%</td>
<td>22.5%</td>
<td>40%</td>
<td>2.5%</td>
</tr>
<tr>
<td>urban FSW-general male</td>
<td>10%</td>
<td>45%</td>
<td>70%</td>
<td>5%</td>
</tr>
<tr>
<td>urban FSW-MSMW</td>
<td>10%</td>
<td>45%</td>
<td>70%</td>
<td>5%</td>
</tr>
<tr>
<td>urban MSMW-MSMW</td>
<td>10%</td>
<td>25%</td>
<td>40%</td>
<td>2.5%</td>
</tr>
<tr>
<td>rural general female-general male</td>
<td>2.5%</td>
<td>11.3%</td>
<td>40%</td>
<td>1.3%</td>
</tr>
<tr>
<td>rural general female-MSMW</td>
<td>2.5%</td>
<td>11.3%</td>
<td>40%</td>
<td>1.3%</td>
</tr>
<tr>
<td>rural FSW-general male</td>
<td>5%</td>
<td>22.5%</td>
<td>35%</td>
<td>2.5%</td>
</tr>
<tr>
<td>rural FSW-MSMW</td>
<td>5%</td>
<td>22.5%</td>
<td>35%</td>
<td>2.5%</td>
</tr>
<tr>
<td>rural MSMW-MSMW</td>
<td>5%</td>
<td>12.5%</td>
<td>20%</td>
<td>1.3%</td>
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</tbody>
</table>

#### General parameters

| Acts of sexual intercourse | 1 | No | b17 |

---

The Kirby Institute for Infection and Immunity in Society
b1 to b14: The parameters describing the number of sexual partners each population group has each year are described in detail in the main text. The values here have been calibrated to the HIV epidemic in PNG from 1990 to 2010 and change over time.

We assume all populations groups have the same relative reduction in partners which is shown in the figure below and results in a 10% reduction from the 1990 value by 2010:

b15: A small reduction in partner numbers is assumed for individuals with AIDS or who have been diagnosed with HIV due to illness and education/counselling.

b16: The probability of a condom being used in particular partnerships is described in detail in the main text.

b17: These values are assumptions calibrated to the HIV epidemiological data for PNG and are common in mathematical HIV modelling. For regular partnerships the 100 acts corresponds to the assumed number of acts in a long term regular partnership each year.
Baseline HIV Clinical Parameters

The parameters and assumptions used to describe clinical characteristics of the HIV epidemic in PNG are described in this section. Such parameters include the rate of testing for HIV in each population group, the average time taken for someone with late stage HIV or AIDS to be clinically diagnosed, and the rate that people begin ART and experience treatment failure. The final default parameter values are listed in Table 5.

The PNG HIV model incorporates the uptake of second line ART therapy and treatment failure. However, second line therapy is only just becoming available in PNG so we assume there is currently no second line therapy in the model up until the end of 2010. The uptake, adherence/drop-out, and failure rates for second line therapy can be easily incorporated into the model for evaluating the future direction of the HIV epidemic and the impact of interventions in PNG through the software interface.

Unfortunately there is little data on common behavioural trends related to HIV testing and ART usage with no national surveillance reports describing trends or population characteristics [16]. National reports tend to simply state the number of HIV tests carried out each year and the number of people who have started ART [3, 14]. This means, while all clinical parameters in the model can vary with age, there is not enough information to describe and model all the clinical characteristics of different age groups. For modelling purposes we therefore assume that each population and age group within urban and rural areas has the same level of ART initiation and the same rate of treatment failure.

For parameters describing testing there is cumulative diagnoses data for each male and female age group for the 1987 to 2008 period. This is used to calibrate the default testing rates in the PNG HIV model as described below. Also there are a number of studies among particular population groups allow differences in clinical characteristics to be ascertained [3, 4, 13, 14, 16].

Testing rates and AIDS diagnosis

A HIV infected person can be diagnosed with HIV via a blood test or clinically if they have symptoms of late stage HIV or AIDS. The number of HIV tests conducted overall in PNG has increased from 1407 in 2004 to 120607 in 2008. This rapid increase in testing corresponds with a rapid scale up in the number of testing sites right across PNG from only 4 sites in 2004 to 201 sites in 2008 [14]. This rapid scale up of testing was initiated with the establishment of sentinel...
surveillance in 2002 [3] with an expansion of testing in antenatal clinics, STI clinics, the screening of blood donors, and tuberculosis patients as well as in VCT sites across the country [3].

While testing for HIV did occur throughout the 1990’s it mainly occurred in urban areas particularly at the Port Moresby general hospital which was the only place offering VCT in the early 1990s [3]. It is likely that the majority of people travelled to Port Moresby and other urban centres to get tested for HIV after they started to experience symptoms of their infection. This means that prior to 2002 the majority of HIV diagnoses are likely to be due to a clinical diagnosis in an urban centre with a small proportion of the population being tested prior to the development of symptoms. Such a pattern in diagnoses gives an explanation for why in some years the number of people diagnosed with HIV is greater than the number of tests carried out. For example in 2004 there were 1407 HIV tests carried out but 2636 HIV diagnoses recorded [14].

In terms of specific population groups a number of surveys have reported whether individuals have ever been tested previously [4-6, 16]. Often these results do not give the frequency of testing within a population and have only been conducted recently but they do give an indication of the level of testing within a population. A 2006 survey of adult male workers in occupations considered to be at high risk of HIV infection reported that between 7.7% and 26.4% of males had a voluntary HIV test previously in their lives and obtained their results [4, 16]. Male military personal were also surveyed and 74.5% were found to have had a HIV test, however, this is not surprising as HIV testing is a requirement of entry into the armed forces [4]. Another study in 2006 recorded the proportion of out of school youth (aged between 15 and 24 years) in Port Moresby who have previously been tested for HIV [4]. The results showed that between 7.1% and 17.9% of the population had been tested with the highest proportion in married females [4]. These survey results are likely to be indicative of HIV testing in the general population in recent years. In surveys of FSWs the frequency of testing is often recorded. For example one survey during 2005 found that 17.5% of FSWs in Port Moresby and 9.8% of FSWs in Goroka had underwent VCT in the last year [6]. A follow up study found higher levels of testing with 34 to 35% of FSWs reporting they went to a VCT centre in Port Moresby and Goroka in the past 12 months [16]. A survey conducted in 2006 reported that 48.4% of non-highway FSWs and 42.1% of highway FSWs had previously tested for HIV [4]. For MSMW the only testing data available is from the RDS of highly sexually active MSM in Port Moresby, discussed previously, with 24% of men undergoing VCT in the previous year [6]. Finally, a more recent study of FSWs in Port...
Moresby conducted in 2010 showed a wide disparity in testing with 51% of surveyed FSWs having received a HIV test in the last 12 months while 39% had never been tested for HIV.

This data is useful for informing the level of testing within particular populations within PNG but is not detailed enough to use directly in the calibration of the PNG HIV model. This means a large number of assumptions are required to calibrate the PNG HIV model to available diagnoses data. The first assumption we make is that testing rates and the rate of AIDS diagnoses for rural population groups is 25% less than the rates for rural population groups reflecting the lack of access to medical services for people in rural areas. We assume that the same proportion of general males and MSMW in each age group is tested each year. People with AIDS are assumed to be diagnosed at a much higher rate than those in the primary and chronic stages due to the presence of symptoms and the ability to be diagnosed clinically. The clinically/symptomatic based diagnosis of AIDS is also assumed to be independent of age and population group.

As services for testing individuals for HIV have expanded substantially in recent years with the wide scale roll-out of VCT services and ANC testing, we assume all population and age groups have the same relative increase in testing rates over time and match the annual diagnoses obtained from the model with the annual diagnoses reported for PNG.

The annual number of diagnoses recorded by the PNG HIV model also depends on the initial level of testing in 1990. To calibrate the testing rates in 1990 urban FSW age groups have a testing rate set to 0.2, which is much higher than the testing rate for general females, and all urban MSMW have a testing rate set to 0.1, which is higher than the testing rates for general males. The testing rates for general males and females are allowed to vary with age and are set so that the cumulative number of diagnoses in each male and female age group from 1990 to 2008 matches the diagnoses data in PNG (see Figure 5 and Figure 3 in the PNG HIV Model – Summary and Results report). Note that age has not been recorded for 44% of the reported diagnoses in PNG so for calibration purposes we multiply the number of diagnoses obtained by the model in each age group by 0.56 to compare the model results with the data in Figure 5.

To match the age distribution in male diagnoses the same testing rate for all male age groups is used (see Table 5). For general females a much higher testing rate is required for younger females than older females with a peak in the age groups with the highest birth rate. These differences between males and females are reflected in available testing data with 120,607 HIV tests conducted in 201 testing site throughout PNG in 2008 [14]. Of these tests 71% were
conducted in females, however, if ANC tests are excluded then only slightly more tests were conducted in females than males for that year (39,034 versus 35,320 respectively)[14]. Therefore, the higher levels of testing in younger female age groups in the PNG HIV Model reflect ANC testing.

Using the testing rates in Table 5 with the other baseline parameter values the PNG HIV model produces diagnoses results which closely match the available diagnoses data (see Figure 5 and Figure 3 in the PNG HIV Model – Summary and Results report). This is particularly true for the number of annual diagnoses but the age distribution for cumulative diagnoses is very similar to the data presented in Figure 5 with females having a peak diagnosis in the 20-24 year age group and males have a peak diagnosis in the 30-34 year age group with more male diagnoses than female diagnoses in older age groups. The overall proportion of diagnoses that are female is around 60% which is representative of available data in recent years (but potentially unrealistic in earlier years when there was less ANC testing) [14].

The model accurately represents the diagnoses data for PNG overall but the testing rates required are high compared to the proportion of the population that get tested each year in PNG. For urban FSWs the testing rates rise from 10% in 1990 to 40% in 2010 which seem reasonable given the available survey data presented above. However, for younger general males the testing rate increases to 20% and for urban general females in the 20 to 24 year old age group the rate increases to 40% per year by 2010. The testing rates required for the general population perhaps suggest that the HIV epidemic is concentrated within particular populations or geographic regions which have previously been targeted successfully with HIV testing.

**Anti-retroviral therapy**

First line antiretroviral therapy has only been available in PNG since the end of 2003. Besides records of the number of people who have started ART there is limited data on the roll out of ART and the characteristics of people receiving it. HIV infected individuals in PNG begin taking ART following the WHO guidelines [74]. This means we assume that only people in the AIDS stage of infection receive ART in the PNG HIV Model. However, earlier initiation of treatment can be implemented as an intervention strategy. This means the rate that infected individuals with AIDS is likely to be the same for all population and age groups.
The rapid expansion of ART services means that the number of people that have begun ART in PNG has increased rapidly from 2004. This data is used to set the rate that people with late HIV infection or AIDS begin treatment in the PNG HIV model. The rate of ART initiation used in the model results in a simulated ART coverage that is representative of estimates provided by the NDoH [2] with a rapid increase after 2004.
Table 5: Parameter Table for default clinical parameters
Parameter values used to describe the clinical characteristics of population groups in urban and rural PNG from 1990 to 2010. These parameters are used to simulate the testing, diagnosis, ART initiation, and ART failure in the PNG population overall. The value of each of these parameters can vary over time from 1990 to 2010. Footnotes provide more details and the references for the parameter values with further details in the main text. The interface column specifies whether the values can be changed in the PNG HIV Model interface.

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<th>Estimated Values</th>
<th>Interface</th>
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<td>d2</td>
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<td>10-14</td>
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</table>
### The PNG HIV Model – Technical Details

<table>
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<th>$p_4$</th>
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<td>0.15</td>
<td>0.32</td>
<td>0.06</td>
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<td>0.08</td>
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<td>0.068</td>
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<td>0.16</td>
<td>0.03</td>
<td>0.055</td>
<td>0.12</td>
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<td>0.072</td>
<td>0.16</td>
<td>0.03</td>
<td>0.055</td>
<td>0.12</td>
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<td>0.072</td>
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<td>0.03</td>
<td>0.055</td>
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<td>0.072</td>
<td>0.16</td>
<td>0.03</td>
<td>0.055</td>
<td>0.12</td>
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</tbody>
</table>

Proportion of FSWs with primary or chronic HIV infection that are diagnosed with HIV each year:

Yes
d3
### AIDS Diagnosis

<table>
<thead>
<tr>
<th>Proportion of people with AIDS that are diagnosed each year.</th>
<th>Yes</th>
<th>d4</th>
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### Anti-retroviral Treatment (ART) Parameters

<table>
<thead>
<tr>
<th>Proportion of HIV infected individuals with diagnosed AIDS that begin first line ART treatment each year.</th>
<th>Yes</th>
<th>d5</th>
</tr>
</thead>
</table>

| Proportion of people on first line ART in urban areas that experience 10% | 10% | Yes | d6 |
treatment failure each year

<table>
<thead>
<tr>
<th>Proportion of people on first line ART in rural areas that experience treatment failure each year</th>
<th>20%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Second Line ART</td>
<td>Yes</td>
</tr>
</tbody>
</table>

d1 – d3: The testing rates for each population age group are discussed in detail in the main text. It is assumed that rural testing rates are 25% less than those for urban populations due to lower access to medical services. The relative change in testing rate from 1990 to 2010 is assumed to be the same for each population and age group. No variation with age in testing rates is assumed for general males, MSMW, or FSW populations. Younger general females have higher testing rates than older females due to ANC testing and are calibrated to match the cumulative number of diagnoses in each age group [1]. All populations are assumed to have the same relative increase in testing over time from 1998 to 2007 (assumed to be linear) to match the rise in recorded diagnoses in PNG [1]. This relative rise is shown below:

General males and MSMW are assumed to have the same testing rates overall while FSW are assumed to have a higher testing rate.
than general females due to their higher risk of acquiring HIV and to reflect available testing data.

d4: Individuals with AIDS have a higher testing rate due to the presence of clinical symptoms. Since diagnosis more likely to be based on clinical symptoms we assume it is the same for each population group except that urban populations have a higher rate than rural populations due to better access to medical services. Diagnoses rates for people with AIDS are assumed to be much higher than for the rates during primary and chronic HIV infection and are calibrated so that simulated annual diagnoses matches the recorded diagnoses in PNG overall [1].

d5: ART treatment only became available in PNG at the end of 2003, since then HIV infected individuals have been given treatment based on the WHO guidelines [74]. Available evidence from the 2008 STI, HIV, and AIDS Annual Surveillance Report [14] shows that ART has been rapidly rolled out and the latest estimates of ART coverage from the NDoH show that by 2010 up to 80% of people requiring treatment are receiving it [2, 14]. As ART initiation is based on the WHO guidelines we assume that diagnosed HIV infected individuals with late HIV or AIDS in all population groups are given ART at the same rate. This rate of ART initiation is calibrated to match the reported number of people who have started ART for PNG [2, 14]. By 2010 the rate of ART initiation corresponds to an average period of 6 months between being diagnosed with late HIV or AIDS and beginning ART treatment. It might be expected that due to logistical issues and lack of access to ART services the rate that the population in rural areas begins treatment would be lower than that urban areas but due to the high estimates for ART coverage in PNG [2] we assume the same rate of ART initiation for both urban and rural areas (with the differences between urban and rural areas contained in the AIDS diagnoses rate).

d6: There is no data available on HIV treatment failure in PNG. Due to this lack of data we simply assume that all population and age have the same failure rate with urban areas having half the failure rate of rural areas reflecting lower access to ART services. The rates we use are based on available clinical data [75]. We assume rural populations have a higher failure or equivalently shorter duration until failure due to the difficulty of accessing treatment regularly which is likely to result in lower adherence.

d7: The model allows second line ART therapy to be described. However, up until 2010 there was limited 2nd line ART available in PNG so it hasn’t been included in the default parameter table. The rate that people start 2nd line ART and the 2nd line failure rate are available in the interface.
Discussion: Issues with Calibration and Model Limitations

Using the parameter values in Tables Table 2-Table 5 the PNG HIV model produces a simulated HIV epidemic that satisfies the assumptions listed at the end of the HIV epidemiology in PNG from 1990 to 2010 section and is a good representation of the HIV epidemic in PNG (as shown in Figures 2 and 3 in the PNG HIV Model – Summary and Results report). Calibrating these parameters to satisfy these assumptions and match available data was difficult and required a large number of assumptions to be made due to the complexity of the model and a lack of data for many parameters. These assumptions and the default parameters used need to be considered when interpreting the results.

In particular the following aspects of model parameterisation are particularly important because they have a large impact on the simulated HIV epidemiological trends:

- The proportion of the population in urban and rural areas that are MSMW and FSWs. There is very little data on the actual number of women who engage in sex work and the length of time they engage in this activity but this can have a large impact on the simulated prevalence of HIV within the adult population. Similarly there is very little data on the overall number of men who have sex with men on a regular basis and variations in the proportion of males that are MSMW can have a large effect on simulated outcomes.

- The proportion of males circumcised and with penile cutting and the efficacy of penile cutting in preventing HIV acquisition. There is only a small amount of data on the proportion of men in PNG who have undergone some form of penile cutting. This data rarely separates the penile slitting from circumcision (complete removal of the foreskin) and the protective effect of penile cutting completely unknown (meaning we had to simply assume a 20% efficacy in the model). The results produced by the PNG HIV model are sensitive to these parameters and more accurate data would improve the results obtained from the model.

- For the model to produce a simulated epidemic representative of the overall HIV epidemic in PNG the per-act reference transmission probability from males to females had to be relatively large compared to results from meta-analyses (see Table 3). As explained in the section on HIV biology and transmission parameters this larger value could reflect the level of heterosexual anal sex and sexual violence in PNG. However, there is limited data describing the frequency and proportion of acts involving heterosexual anal intercourse to verify a higher value.
To achieve a levelling off in adult HIV prevalence after 2010 the model requires a change in sexual behaviour to reduce the risk of HIV transmission. This was achieved in the model by reducing the number of partners general females have each year by 10% (from 2000 to 2010). There is little data to suggest that such a reduction has occurred in PNG though it is not unreasonable to expect such a change given the roll-out of programmes to increase the level of knowledge over the last decade and the likely exposure to friends or family that have become HIV-positive.

In the model the testing/diagnosis rates of young females need to be high by 2010 to match available diagnoses data. This rate is likely to be much higher than what is occurring in reality in PNG. The high rates of diagnosis required in the model potentially reflect that the individuals most at-risk of HIV infection are the ones being tested for HIV currently in PNG. If this is the case then the epidemic in PNG could be much more concentrated than what has been assumed in the model.

The assumptions required to calibrate the model potentially highlight limitations with the model or the assumptions about the HIV epidemiology in PNG used for calibration. In particular the model assumes a relatively homogenous HIV epidemic but potentially there is much more heterogeneity with individuals in particular regions, settings, or population groups being at a much higher risk of HIV infection. Future research that fills in these gaps would lead to improved forecasts from the PNG HIV Model and would be useful for the development of other models of HIV transmission in PNG.
References

The PNG HIV Model – Technical Details


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75. Smith, C.J., et al., The rate of viral rebound after attainment of an HIV load <50 copies/mL according to specific antiretroviral drugs in use: results from a multicenter cohort study. Journal of Infectious Diseases, 2005;192:1387-97
The PNG HIV Model -
Software Manual
Introduction to the PNG HIV Model Graphical User Interface Software

This manual provides an overview on how to use the PNG HIV Model software (version 1.0) to evaluate the past and project the future of HIV Epidemics in PNG. This software has been implemented as a graphical user interface (GUI).

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Installing the Software

1. Create a directory where you would like to store the PNG HIV Model software files.


3. Address the simple prompts that appear in the installation process. The required files will be unzipped and a PNG_HIV_Model.exe file will be created. This file can be moved to another location such as the desktop.

4. The PNG HIV Model will be automatically configured to run by double-clicking PNG_HIV_Model.exe.

Opening the PHG HIV Model

Double-click on the PNG_HIV_Model.exe file (note that the software may be slow to start). This will load the model software. The screen pictured in Figure 1 is the initial screen to appear and is the main page for the GUI. The PNG HIV Model stores all the information about an analysis of the HIV epidemic in PNG as a project. A project is a collection of settings, scenarios, and result that are combined and saved into a single framework for storage and further analysis.

When the PNG HIV Model opens initially there is no project loaded in the model. For the software to run a new project must be created or a previously generated project must be loaded. The current project being investigated by the PNG HIV Model is shown next to “Current Project:” on the main page. The creating and managing of projects is described in the next section.

The eight buttons on the opening screen perform the following actions:

- **About** – Provides information about in the PNG HIV Model.
- **Help** – Provides a link to a PDF of this user manual as well as a contact address for further information.
- **New Project** – Use this to create a new project to investigate the HIV epidemic in PNG.
- **Load Project** – Use this to load a previously created project to continue investigations.
- **Parameters & Calibration** – Opens the Parameters and Calibration page. This cannot be entered until a project has been created or loaded. This utility is described on page 8.
- **Past Evaluation** - Opens the Past Evaluation page. This cannot be entered until a project has been created or loaded and the Parameters & Calibration Utility has been used to
create a baseline past evaluation simulation for the project. This utility is described on page 16.

- **Interventions** - Opens the interventions page. This cannot be entered until a project has been created or loaded and the Parameters & Calibration Utility has been used to create a baseline projection simulation for the project. This utility is described on page 27.

- **Exit** – Closes the PNG HIV Model.

![Figure 1: Main page of the PNG HIV Model GUI](image)
Creating, Loading, and Managing Projects

To perform an analysis of the HIV epidemic in PNG the user must first create a project using the create project button on the home screen or by selecting the create project menu in the Parameters & Calibration screen. Within a project multiple scenarios can be defined but a project has a single underlying set of parameter values and settings. Undertaking multiple analyses of the same setting (e.g. specific region or populations) with different parameters or interventions can be carried out by creating multiple projects for that setting. Once projects have been created they can be loaded into the PNG HIV Model using the Load Project button or menu. In this manual we will use ‘PROJECT’ as the name of a generic example project.

- **New Project** - A Windows Explorer window will open in the ‘My Documents’ directory or the last project folder created by the user. Navigate to or create a directory to store the new project and enter a name for the project (as shown in Figure 2) and click Save. As a recommendation we suggest creating a directory called ‘PNG_MODELLING’ to store projects and use a subdirectory of the form ‘PNG_MODEL_{Description}_\{date\}'. The current project in the PNG HIV Model will now be this new project and the default settings will loaded.

![Figure 2: Screenshot of the Enter New Project Name window (when installed in Windows XP; similar screens appear for other versions of Windows)](image)

When the project is created a file with a .mat extension (which may appear as a Microsoft Office Access Table) and directory with the projects name are created to store the default settings and parameters of the PNG HIV Model (Figure 3).
Figure 3: Screenshot of directory containing a project file and associated project directory (when installed in Windows XP; similar screens appear for other versions of Windows).

- **Load Project** – A Windows Explorer window will open (Figure 4). Navigate to the directory where the required project is stored and select the corresponding project file (DO NOT SELECT THE PROJECT’S DIRECTORY) and click open.

Figure 4: Screenshot of window for selecting a project to load (when installed in Windows XP; similar screens appear for other versions of Windows).

The current project in the PNG HIV Model GUI will now be the selected project and all the settings for the project will be loaded.
Once a new project is created or a previous project is loaded, the Parameters & Calibration page can be opened from the main page of the GUI.

**Directory and file structure of a project** – A project’s file and associated directory are used to store all the settings, parameters, simulations performed, and results generated by the PNG HIV Model. Within a project’s directory are a number of sub directories and files that can be browsed. A view of the tree structure of all the sub-directories in the project’s directory is shown in Figure 5. The main subdirectories of a project directory are calibration, input, interventions, and pastcompare. The majority of the files in the project directory are storage files for the inputs and simulation outputs generated by the PNG HIV Model. These cannot be opened unless the user has Matlab installed on their computer. However, the directories ‘PROJECT \calibration\Results’, ‘PROJECT \intervention\Results’, and ‘PROJECT \pastcompare\Results’ are the default directories used by the PNG HIV Model to store the outputs generated by the software. By browsing to these directories using Windows Explorer these result files can be accessed.

![Directory tree for a project](image)

**Figure 5:** Directory tree for a project (when installed in Windows XP; similar screens appear for other versions of Windows).

**Moving, renaming, or deleting a project** - If a user wants to move or rename a previously created project then they must ensure that the both the project’s file and the associated directory are given the same name and both moved to the same folder otherwise the PNG HIV
Model will not run correctly. To delete a project completely delete both the project file and the project directory.

Parameters and Calibration

When the Parameters & Calibration page is opened the screen in Figure 6 will appear and replace the main page. This page is used to enter parameter values for the main parameters in the PNG HIV Model and to run simulations. Available HIV epidemiological data can also be entered via this page so that parameter values can be calibrated to best match available data.

- The current project loaded into the software is displayed in the top right hand corner.
- The Main Page button is used to return to the PNG HIV Model main page and the Exit button is to close and exit the program.
- The File menu in the top left hand corner of the page contains create and load project options which perform the same action as the corresponding main page buttons.

![Parameters & Calibration page screenshot](image)

**Figure 6:** Screenshot of the Parameters & Calibration page.
Entering Parameter Values

When the Parameters & Calibration page is loaded all model parameters are set to default values which are described in ‘The PNG HIV Model – Technical Appendix’ and used to generate the results in ‘The PNG HIV Model – Summary and Results’. They are used to represent the overall PNG HIV epidemic from 1990 to 2010. This means the model can be run immediately by clicking the Run Simulation button. All changes to the input parameters are saved as files in the project’s ‘PROJECT/input/’ directory. These parameters then represent the baseline parameter values for a project.

Parameter values for a project can be changed by:

1. Selecting a population group from the ‘Population Groups’ menu on the top left hand corner of the page (Figure 7). Parameters for specific population groups can be loaded by selecting the corresponding population from the drop down menu. Selecting ‘All Populations – Constants’ loads parameters that are common for more than one population group and are fixed over time while ‘All populations – Varying’ selects common parameters that can change from year to year.

Figure 7: Screenshots of the menus used to select population groups and associated parameters.

2. Once a population group is selected from the drop down menu each parameter associated with that population can be selected from a drop down ‘Select Parameters’ menu under the page title. After selecting a particular parameter from this list the default values for each year are shown in a Table. A detailed description of what the select parameter represents in the PNG Model is shown above the table.

3. Parameter values in the table can be changed by clicking inside the table cell in the Parameter Value column and entering the new value. After entering the new value press ‘Enter'
on the keyboard, navigate to another cell using the up and down arrow keys, or click the mouse outside the cell.

![Screenshot showing the 'Save Parameters' and 'Reset Parameters' buttons.](image)

**Figure 8:** Screenshot showing the ‘Save Parameters’ and ‘Reset Parameters’ buttons.

4. When parameter values have been changed in the table the ‘Save Parameters’ must be clicked (circed in Figure 8) to save the changes before navigating to another parameter type or population group. Parameter values can be reset prior to saving them by clicking ‘Reset Parameters’. This provides options to restore the values of the current parameter to their previously saved values or to their original default software values (Figure 9).

![Screenshot of the reset parameter options](image)

**Figure 9:** Screenshot of the reset parameter options

5. Clicking the ‘Add Year’ button (Figure 10) below the table listing the parameter values adds another year to all time varying parameters in the PNG HV Model (not just the parameter
currently viewed) so that the model can be updated in the future. When clicking this button the parameter value of the last year in the parameter table is used for the default value for the added year. After adding a year a ‘Remove Year’ button appears next to the add year button. Click this button to remove the last year of values from the project's parameters (years prior to 2011 cannot be removed).

![Parameter Table Example](image)

**Figure 10:** ‘Add Year’ and ‘Remove year’ buttons below parameter value entry table.

**Entering data used to calibrate parameters**

Specific data describing HIV prevalence, recorded diagnoses, and ART usage can be entered so that comparisons between simulated HIV outcomes and available data can be compared to assist with parameter calibration.

To enter calibration data:

1. Select the ‘Calibration Data’ menu from the top left of the page and then select ‘Enter Calibration Data’ from the drop down menu.

2. Through the same process described above for the population group parameters, known data values for each year can be entered into the table (Figure 11). If a data value is unknown then its value it must be entered as 'NaN'. Click ‘Save Parameters’ to save any changes made to the default data values.
Figure 11: Screenshot of the Enter Calibration Data page.

3. When entering Calibration data a ‘Calibration Data Description’ button appears. By clicking on this button a short description of the data values can be entered, such as a reference to the source of the data. This description will be used when generating figures of results in the legend corresponding to the data.

Running simulations

Once all the changes to the appropriate parameter values are entered and saved click ‘Run Simulation’ to run the PNG HIV Model (Figure 12). Behind the scenes the software runs the PNG HIV Model for the entered parameter values in addition a 10 year projection assuming parameters are fixed at their final year value is carried out.

Figure 12: Screenshot showing the running of a simulation.

The results for each phase of a simulation (described in ‘The PNG HIV Model - Technical Appendix A’) are stored as files in ‘PROJECT \calibration\PopBurn\’,
The results for the 10 year projection into the future (from the final year of entered parameter values; 2010 in the default case) are stored in the project’s ‘PROJECT\interventions\BaselineInt\Results\’ directory created during the simulation process. These results and the corresponding input parameters for the following 10 years, created and stored in ‘PROJECT\interventions\BaselineInt\input\’, are used to represent a project’s baseline intervention scenario when investigating interventions via the interventions page (described on page 27 below). Similarly a copy of the simulation results and input parameters is copied to the ‘PROJECT \pastcompare\’ directory for comparing past evaluations using the ‘Past Evaluation’ page (described on page 16 below).

Whenever changes are made to the baseline parameter values of a project it is important to run a simulation to ensure the baseline past evaluation and intervention parameters are updated. Once the baseline simulation for a project has been completed the user can return to the main page and access the Past Evaluation and Interventions pages.

Generating and viewing results

Once a simulation has been run outputs generated from the results can be created by clicking ‘Review Results’. This button opens a window screen shown in Figure 13 where the type of output required can be selected.

![Review Calibration Results](image)

**Figure 13:** Screenshot of Review Calibration Results window.

In this window there are two options to produce plots from the simulation results and an excel spreadsheet of descriptive statistics. For plotting, the user can choose to plot the simulation
results from 1990 to the final year of parameter values or include the results from the 10 year projection into the future by clicking on the white disc highlighted in Figure 13.

The three buttons on the ‘Review Calibration Results’ window perform the following actions:

- **Summary Plot** – Produces a large plot with 16 subplots of HIV epidemiological indicators. These subplots included a plot of entered calibration data for comparing results (shown as black discs). Based on these results parameter values can be changed to improve the fit between model outcomes and epidemiological data. An example view of the plots generated is shown in Figure 14.

![Figure 14: Example screenshot of the figure produced by clicking 'Summary Plot'.](image)

- **Detailed Plots** – Produces individual plots of all simulated HIV indicators produced by the last simulation run of the project parameters. Overall 90 individual plots are produced and saved as image files; due to the number of images created it can take a few minutes generate these images. These plots are saved in three directories in the ‘PROJECT\calibration\Results\Figures’ directory. These subdirectories contain figures describing demographic/population indicators (in the ‘Demographics’ directory), HIV infection indicators (in the ‘HIVInfection’ directory), and HIV diagnoses and treatment indicators (in the
The type of indicator plotted in each figure is described in the figures file name; e.g. a plot of the overall HIV prevalence is stored in ‘Figures\HIVinfection\Infection_Prevalence_Overall.png’. These plots are produced in a format that can be easily used in reports or other publications. After all image files have been produce and saved a window box appears stating the directory where the images have been saved.

- **Summary Table** – Produces a large Microsoft Excel spreadsheet containing descriptive statistics of all population and HIV epidemiology indicators from 1990 to the final year of simulation (including the 10 year projection). This spreadsheet is saved in the ‘PROJECT \calibration\Results’ subdirectory with the filename ‘PROJECT_CalibrationOutput.xls’.

All the output files generated by the calibration simulations can be found by clicking ‘Open Results Folder’ on the Parameters and Calibration Page.

**Figure 15:** Screenshot of 'Open Results Folder' button.

Alternatively the output files can be accessed by browsing to ‘PROJECT \calibration\Results’ using Windows Explorer (Figure 16).

**Figure 16:** Screenshot of calibration results directory. (when installed in Windows XP; similar screens appear for other versions of Windows).
Past Evaluation

Once the baseline simulation for a project has been run using the Parameters & Calibration page the Past Evaluation page can be accessed from the main page of the GUI. The Past Evaluation page is used to evaluate what could have happened in the past if conditions were different, or to assess the potential impact that past public health programs had on the HIV epidemic in PNG. This is done by comparing the resulting epidemic simulation due to changes in past conditions to the baseline simulation (saved in the BaselineComparison.mat file in the ‘PROJECT\pastcompare\’ directory). For example in ‘The PNG HIV Model – Summary and Results’ report we used this software to evaluate what would have happened in PNG if ART had not become available in 2004.

There are two past evaluation modes available in the GUI; a ‘Simple’ mode and an ‘Advanced’ mode. When the past evaluation is launched the simple mode is displayed by default. The mode used can be changed by clicking on the Past Evaluation menu of the Past Evaluation page (top left hand corner) and selecting the mode required (Figure 17). The simple mode provides an easy and intuitive way to explore potential past changes in condom use, the number of sexual partners and the level of STIs in the population. In contrast the advanced mode allows changes to all parameters from their baseline value.

![PNG HIV Model - Simple Evaluation](image)

**Figure 17**: Selection of Advanced or Simple Past Evaluation Mode.

Multiple past evaluation scenarios can be carried out for a single project. Scenarios can be created, loaded, and managed via the buttons on the advanced mode page.

Simple Evaluation Mode

A screen shot of the simple past evaluation mode is shown in Figure 18. This is the initial screen seen after clicking the Past Evaluation button on the main page of the GUI. This mode is used to do a simple evaluation of what impact changes in condom use, the number of sexual partners and the prevalence of STIs had or could have had on the HIV epidemic in PNG.
Simple evaluations of past changes in sexual behaviour and STIs can be carried out in two ways using the GUI. These two options are shown in Figure 19.

- **Change by a %** - The first option changes all corresponding parameters over the entire baseline period. Clicking ‘Change by a %’ first opens a Windows Explorer window. This window opens in the ‘Project\pastcompare\’ directory by default. An alternative directory can be created or used but it is recommended to save scenarios in this directory for ease of organization and project management. Enter a filename for this evaluation and click ‘OK’.
Once the file for the scenario is created and saved the ‘Comparison Description’ window opens requesting a simple description describing the scenario being evaluated (Figure 20). Enter a simple description and click ‘OK’ as shown in the example screenshot below.

![Comparison Description Window](image.png)

**Figure 20:** Screenshot of 'Comparison Description' window.

Once a description of the past evaluation is complete another window is opened where the percentage change in the parameter values is entered. This percentage change is entered relative to 100 with a decrease of x% relative to the project baseline entered as 100-x and an increase in condom use of y% entered as 100+y. An example screenshot of this window is shown in Figure 21. Once the percentage change is entered click ‘OK’. The appropriate parameter values of the baseline project parameters are then adjusted within the PNG HIV Model and a simulation of the model is run using the changed parameters.

![Condom Use: % change Window](image.png)

**Figure 21:** Screenshot of how to enter percentage change for a simple past evaluation.

- **Constant Year** – This option is used to explore what could have happened if conditions hadn’t changed since a certain time in the past (e.g. what could have happened if condom use hadn’t increased since 2000). When using this option the same process as the ‘Change by % option’ is followed except that after entering a comparison description a ‘Constant Trend’ window opens (Figure 22). Enter the year from which the parameter value does not change and click ‘OK’ and the PNG HIV Model will run.
After the past evaluation simulation is run a plot of summary HIV epidemiological indicators is automatically produced comparing the indicators for the past evaluation to the simulated values for the project baseline parameters. An example of this plot is shown in Figure 23 for a ‘Constant Year’ past evaluation.

Figure 23: Screenshot of a plot of summary HIV indicators comparisons produced after a past evaluation has been run.

Advanced Evaluation Mode

Selecting the advanced mode of past evaluation replaces the simple mode page and opens a new page (shown in Figure 24) similar to the Parameters & Calibration page described on Page
8. Using this page all the baseline parameters for a project can be altered and the resulting simulation can be compared to the baseline simulation.

Figure 24: Screenshot of advanced past evaluation page.

Creating, Loading, and Managing Evaluations

When the advanced Past Evaluation page is opened a new evaluation scenario must be created or loaded before parameter values can be altered.

Figure 25: Screenshot of 'New Evaluation' and 'Past Evaluation' buttons.
This is achieved by clicking on the ‘New Evaluation’ or ‘Load Evaluation’ buttons (Figure 25). In this manual we use ‘EVALUATION’ as the name of generic example evaluation scenario.

- **New Evaluation** – A Windows Explorer window will open in the ‘PROJECT\pastcompare\’ directory. Enter a name for the past evaluation scenario; for example ‘Lower Condom Use’ and click Save. The baseline comparison parameter values will be loaded and the new scenario will be displayed in the top right hand corner of the Past Evaluation Page (Figure 26). The ‘Add Description’ button should now be pressed to provide a legend description for the newly created evaluation.

![Figure 26: Screenshot highlighting where information about the current project and current scenario can be found.](image)

When a new evaluation scenario is created a file ‘EVALUATION.mat’ and an associated directory ‘EVALUATION\’ are created in the ‘PROJECT\pastcompare\’ directory. Multiple past evaluations can be created for a particular project and stored in the ‘PROJECT\pastcompare\’ directory.

- **Load Evaluation** – A Windows Explorer window will open in the ‘PROJECT\pastcompare\’ directory (Figure 27). Select the required file associated with the evaluation (DO NOT SELECT THE PROJECT’S DIRECTORY) and click ‘Open’.
Figure 27: Selecting a single previously created past evaluation file to load (when installed in Windows XP; similar screens appear for other versions of Windows).

- **Add Description** - The ‘Comparison Description’ window opens requesting a simple description describing the scenario being evaluated. Enter a simple description and click ‘OK’.

**Altering Past Evaluation Parameter values**

Once a past evaluation has been created or loaded the parameter values can be changed by selecting a population group and then specific parameters associated with a population group from the drop down menus (in approximately the same position as in the Parameters & Calibration page described on page 8). Once a parameter is selected its current values are loaded into a table in the middle of the GUI. In this table the parameter values for each year can be changed by selecting a cell in the table and entering a new value. After entering the new value press ‘Enter’ on the keyboard, navigate to another cell using the up and down arrow keys, or click the mouse outside the cell. Once a new value has been entered they are saved and reset in the same way as for the Parameters & Calibration page by clicking ‘Save Parameters’ and ‘Reset Parameters’, respectively. Once the new values of a parameter have been saved other parameters can be selected and changed before running the evaluation.

**Create Project From Evaluation** – By comparing the results of a past evaluation with the baseline results it may become apparent that the past evaluation results are a better representation of the HIV epidemic being investigated. If this is the case clicking ‘Create Project From Evaluation’ (Figure 28) and entering a file name for the project will create a new project from the current past evaluation parameters.
Running an Evaluation

Once the parameters have been entered click ‘Run Evaluation’ and a simulation of the model will be run behind the scenes. The software runs the PNG HIV Model running the population set-up, the HIV infection set-up, and the post 1990 simulation phases (as described in ‘The PNG HIV Model – Technical Appendix) with the altered parameter values. The results for each phase of a simulation are stored as files in corresponding subdirectories in ‘PROJECT\pastcompare\EVALUATION\Results’.

Reviewing Results

Once an evaluation simulation has been run outputs generated from the results of simulation can be created by clicking the ‘Review Results’ button. This button opens a window (shown in Figure 29) where the type of output required can be selected by clicking on one of the four buttons.

Figure 28: Screenshot showing the location of the 'Create Project From Evaluation' button.

Figure 29: Screenshot of the review results options for past evaluations.
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- **Summary Plot** - Creates a summary plot comparing HIV indicators for selected past evaluation scenarios. Opens a file selection Windows Explorer window in the ‘PROJECT\pastcompare\’ directory which will show all the files for the previous past evaluation scenarios that have been run as shown in Figure 30. To compare a single evaluation with the baseline scenario (BaselineComparison.mat) select that evaluation file and click ‘OK’. To compare multiple past evaluations, select up to 5 files, using the CRTL key or highlighting using the mouse, corresponding to the required past evaluation scenarios EXCLUDING the BaselineComparison.mat file and click ‘OK’.

**Figure 30:** Screenshot of the process for selecting past evaluations for generating results (when installed in Windows XP; similar screens appear for other versions of Windows).

- **Detailed Plots** – Creates a number of individual plots comparing HIV indicators for selected past evaluation scenarios. Following the same procedure as for the summary plot button select 5 past evaluation files and click ‘OK’. A Windows Explorer window then opens in the ‘PROJECT\pastcompare\Results\’ directory (Figure 31). Select or create a folder for the storage of plots and click ‘Save’. To create a folder use the directory creation button circled in the screenshot below DO NOT enter a new directory name in the ‘File name’ box (Figure 31). If a selected folder already contains figures a warning window will appear to confirm if figure files should be over written.

Each individual plot will be saved as a figure file in the user created directory (Figure 32). The type of indicator plotted in each figure is described in the figures file name; e.g. a
plot comparing the overall HIV prevalence in the selected scenarios is stored in a file named ‘Compare_Overall_Adult_Prevalence.png’. All the individual plots have the same legend which is plotted in a separate figure named ‘Legend_for_Evaluation_Figures.png’.

**Figure 31:** Selecting or creating directory for storage of results. To create a directory the user must use the create folder button circled. (Screenshot when installed in Windows XP; similar screens appear for other versions of Windows).

**Figure 32:** Screenshot showing the creation of past evaluation plots and results directory where they are being saved (when installed in Windows XP; similar screens appear for other versions of Windows).
- **Summary Statistics** – Produces summary statistics of HIV indicators for a single past evaluation scenario. Following a similar procedure to that described for the ‘Summary Plot’ button select a *single* past evaluation file and click ‘Ok’. After selecting the file a Windows Explorer window will open in the ‘PROJECT\pastcompare\Results\’ directory requesting a file name. The user can create or browse to another location before entering a file name and clicking save. The software will then generate an excel file of HIV indicator statistics comparing the results of the selected past evaluation file to the baseline simulation. Note that in this file a negative number or percentage shows that for the corresponding statistic the selected past evaluation resulted in an increase or a worsening of that indicator (this is explained in the file itself). An example screen shot of part of a ‘Summary Statistics’ file is shown in Figure 33.

![Figure 33](image)

**Figure 33**: Screenshot of the excel file created when clicking the 'Summary Statistics' button.

Once the summary statistics file is created a popup window will appear (Figure 34) giving the exact file path to the created file.
Figure 34: Window showing the file path where the summary statistics are located.

- **Comparison Table** – Produces an excel file of descriptive statistics comparing HIV indicators for selected scenarios. Following the same procedure as for the creation of the summary plot and the detailed plots select the required evaluation files from the ‘PROJECT\pastcompare\’ directory EXCLUDING the BaselineComparison.mat file. In this case an unlimited number files can be selected. After selecting the file a Windows Explorer window will open in the ‘PROJECT\pastcompare\Results\’ directory requesting a file name. The user can create or browse to another location before entering a file name and clicking save. The software will then generate an excel file of HIV indicator statistics comparing the results of the selected past evaluation files to the baseline simulation. Note that in this file a negative number or percentage shows that for the corresponding statistic the selected past evaluation resulted in an increase or a worsening of that indicator.

**Interventions**

Once the baseline simulation for a project has been run using the Parameters & Calibration page, the Interventions page can be accessed from the main page of the PNG HIV Model GUI. This page is used to project and evaluate the likely future impact of interventions and other changes from current conditions. This is done by comparing model projections over the next ten years to the baseline scenario where the parameter values at the end of the calibration period are assumed to remain fixed over the next 10 years. A screenshot of the interventions page is shown in Figure 35.

Intervention scenarios are created, simulated, and analysed in a very similar process to that for the advanced Past Evaluation Mode page.

**Creating, Loading, and Managing Interventions**
When the Interventions page is opened an intervention scenario must be created or loaded before parameter values can be altered. This is achieved by clicking on the ‘New Intervention’ or ‘Load Intervention’ buttons and is similar to the creation of a project or past evaluation described previously. In this manual we use ‘INTERVENTION’ as the name of generic example intervention scenario.

**Figure 35**: Screenshot of the projections and intervention page of the PNG HIV Model GUI.
**Figure 36:** Screenshot of the 'New Intervention' and 'Load Intervention' buttons.

- **New Intervention** - A Windows Explorer window will open in the ‘PROJECT\interventions\’ directory. Enter a name for the intervention scenario and click ‘Save’. The baseline intervention parameter values will be loaded and the new scenario will be displayed in the top right hand corner of the interventions page. The ‘Add Description’ button should now be pressed to provide a legend description for the newly created intervention.

When a new evaluation scenario is created a file ‘INTERVENTION.mat’ and an associated directory ‘INTERVENTION\’ are created in the ‘PROJECT\interventions\’ directory. Multiple interventions can be created for a particular project and stored in the ‘PROJECT\interventions\’ directory.

- **Load Intervention** - A Windows Explorer window will open in the ‘PROJECT\interventions\’ directory. Select the required file associated with the evaluation (DO NOT SELECT THE PROJECT’S DIRECTORY) and click open.

- **Add Description** - The ‘Intervention Description’ window opens requesting a simple description describing the intervention scenario being evaluated (Figure 37). Enter a simple description as shown below and click ‘OK’. This description will be used in the files created when reviewing results.
Altering Intervention Parameter values

Once an intervention has been created or loaded the parameter values for the next 10 years can be changed by selecting a population group and then a specific parameters associated with a population group from the drop down menus (in approximately the same position as in the Parameters & Calibration page) the baseline parameter values for a project are loaded into a table in the middle of the GUI. In this table the parameter values for each year can be changed and saved and reset in the same way as the Parameters & Calibration page by clicking ‘Save Parameters’ and ‘Reset Parameters’, respectively.

- **Set to Fixed Value** – This opens a ‘Set Constant Parameter’ Value window. Enter the required value and click ‘OK’ (Figure 38). The value of the parameters for each year will be set to the entered value these must be saved by clicking ‘Save Parameters’. The previously saved parameters or the default parameter values can be restored by clicking ‘Reset Parameters’.

**Figure 37:** Screenshot of 'Intervention Description' window.
Figure 38: Process of fixing all yearly values of an intervention parameter to the same value.

Running an Intervention

Once the parameters have been entered click ‘Run intervention’ and a simulation of the model will be run behind the scenes. The software runs the PNG HIV Model from the end of the calibration period for 10 years using the intervention scenarios parameter values. The results for the simulation are stored as files in corresponding subdirectories in ‘PROJECT\interventions\Intervention\Results’.

Review Results

Once an intervention simulation has been run outputs generated from the results of simulation can be created by clicking the ‘Review Results’ button. This button opens a window (shown in Figure 39) where the type of output required for an intervention can be selected by clicking on one of the 6 buttons. The ‘Summary Plot’, ‘Detailed Plots’, ‘Summary Table’, and ‘Comparison Table’ operate very similarly to the Review Results buttons for the Past Evaluations page.
Summary Plot - Creates a summary plot comparing HIV indicators for selected intervention scenarios. Clicking the button opens a file selection Windows Explorer window in the ‘PROJECT\interventions\’ directory which will show all the files for the previous intervention scenarios that have been run. To compare a single intervention with the baseline intervention scenario (BaselineInt.mat) select that intervention file and click ‘OK’. To compare multiple interventions select up to 5 .mat files corresponding to the required intervention scenarios EXCLUDING the BaselineInt.mat file, using the CRTL key or highlighting using the mouse and click ‘OK’ (Figure 40). An example of the plot produced by clicking the summary plot button is shown in Figure 41.

**Figure 39:** Screenshot of the ‘Review Results’ window for the interventions page.

**Figure 40:** Screenshot of selecting intervention files used to generate results (when installed in Windows XP; similar screens appear for other versions of Windows).
Figure 41: Screenshot of summary HIV indicator comparison plots for selected interventions.

- **Detailed Plots** – Creates a number of individual plots comparing HIV indicators for selected intervention scenarios. Following the same procedure as for the summary plot button select 5 intervention files and click ‘OK’. A Windows explorer window then opens in the ‘PROJECT\intervention\Results\’ directory. Select or create a directory for the storage of plots and click ‘Save’. To create a directory use the directory creation button, DO NOT enter new directory name in the ‘File name’ box. If a selected directory already contains figures a warning window will appear to confirm if figure files should be over written. Each individual plot will be saved as a figure file in the user created directory. The type of indicator plotted in each figure is described in the figures file name; e.g. a plot comparing the overall HIV prevalence in the selected scenarios is stored in a file named ‘Compare_Overall_Adult_Prevalence.png’. All the individual plots have the same legend which plotted in a separate figure named ‘Legend_For_Intervention_Figures.png’.

- **Individual Plots** – Creates detailed individual plots of all HIV indicators from the results of a single intervention. These plots are saved in a ‘Figures\’ directory which is created in a user specified directory. Within this directory are three subdirectories contain figures describing demographic/population indicators (in the ‘Demographics’ directory), HIV infection indicators (in the ‘HIVinfection’ directory), and HIV diagnoses and treatment indicators (in the ‘HIVDiagnoses’ directory).
‘HIVclinical’ directory). The type of indicator plotted in each figure is described in the figures file name; e.g. a plot of the overall HIV prevalence is store in ‘Figures\HIVinfection\Infection_Prevalence_Overall.png’. After all image files have been produce and saved a window box appears stating the directory where the images have been saved.

- **Individual Stats** – Produces a large Microsoft Excel spreadsheet containing descriptive statistics of all population and HIV epidemiology indicators for a single intervention simulation. Following a similar procedure to that described for the ‘Individual Plots’ button select a single past evaluation file and click ‘Ok’. After selecting the file a Windows Explorer window will open in the ‘PROJECT\interventions\Results\’ directory requesting a file name. The user can create or browse to another location before entering a file name and clicking ‘Save’. The software will then generate an excel file of HIV indicator statistics for the selected intervention. This file is saved in the directory selected by the user with the filename ‘Intervention_Individual_Stats.xls’. Once the summary statistics file is created a popup window will appear giving the exact file path to the created file.

- **Summary Statistics** – Produces summary statistics of HIV indicators for a single intervention scenario. Following a similar procedure to that described for the ‘Individual Plot’ and ‘Individual Stats’ buttons select a single past evaluation file and click ‘Ok’. After selecting the file a Windows Explorer window will open in the ‘PROJECT\interventions\Results\’ directory requesting a file name. The user can create or browse to another location before entering a file name and clicking save. The software will then generate an excel file of HIV indicator statistics comparing the results of the selected past intervention file to the baseline simulation. Note that in this file a negative number or percentage shows that for the corresponding statistic the selected past evaluation resulted in an increase or a worsening of that indicator (this is explained in the file itself). Once the summary statistics file is created a popup window will appear giving the exact file path to the created file.

- **Comparison Table** – Produces an excel file of descriptive statistics comparing HIV indicators for selected intervention scenarios. Following the same procedure as for the creation of the summary plot and the detailed plots select the required .mat evaluation files from the ‘PROJECT\interventions\’ directory EXCLUDING the BaselineInt.mat file. An unlimited number files can be selected. After selecting the file a Windows Explorer window will open in the ‘PROJECT\interventions\Results\’ directory requesting a file name. The user can create or browse to another location before entering a file name and clicking ‘Save’. The
software will then generate an excel file of HIV indicator statistics comparing the results of the selected intervention files to the baseline simulation. Note that in this file a negative number or percentage shows that for the corresponding statistic the selected intervention resulted in an increase or a worsening of that indicator.

If things don’t work

Occasionally the PNG Model Software may freeze due to parameter values being incorrectly entered or inappropriate for the context simulated (for example condom usage rates greater than 100%). If this occurs the software should be closed. The software can then be relaunched and the project being worked on can be reloaded. The parameter values can then be edited to ensure simulations run correctly. To close the program click on the ‘Exit’ button or (if that doesn’t seem to work) click on the close window X in the top right hand corner of each page of the PNG HIV Model GUI.